# Chaos, Thermalization and Statistical Features of Complex Nuclei

# Vladimir Zelevinsky

NSCL/ Michigan State University

Supported by NSF and Binational Science Foundation USA-Israel

Workshop on Level Density and Gamma Strength

Oslo, May 13, 2009

# **OUTLINE**

- 1. Introduction: Many-body quantum chaos
- 2. Nuclear case
- 3. Thermodynamics and chaos
- 4. Pairing phase transition
- 5. Ground state spin with random interactions
- 6. Predominance of prolate deformations
- 7. Summary

# <u>THANKS</u>

- B. Alex Brown (NSCL, MSU)
- Mihai Horoi (Central Michigan University)
- Declan Mulhall (Scranton University)
- Valentin Sokolov (Budker Institute)
- Alexander Volya (Florida State University)

# Chaotic motion in nuclei

- \* Mean field (one-body chaos)
- \* Strong interaction (mainly two-body)
- \* High level density
- \* Mixing of simple configurations
- \* Destruction of quantum numbers, (still conserved energy, J,M;T,T3;parity)
- \* Spectral statistics Gaussian Orthogonal Ensemble
- \* Correlations between classes of states
- \* Coexistence with (damped) collective motion
- \* Analogy to thermal equilibrium
- \* Continuum effects

### MANY-BODY QUANTUM CHAOS AS AN INSTRUMENT

### **SPECTRAL STATISTICS** – *signature of chaos*

- missing levels
- purity of quantum numbers
- statistical weight of subsequences
- presence of time-reversal invariance

#### **EXPERIMENTAL TOOL** – *unresolved fine structure*

- width distribution
- damping of collective modes

### **NEW PHYSICS**

- statistical enhancement of weak perturbations (parity violation in neutron scattering and fission)
- mass fluctuations
- chaos on the border with continuum

### **THEORETICAL CHALLENGES**

- order our of chaos
- chaos and thermalization
- new approximations in many-body problem
- development of computational tools

### **CHAOS versus THERMALIZATION**

- L. BOLTZMANN Stosszahlansatz = MOLECULAR CHAOS
- **N. BOHR** Compound nucleus = MANY-BODY CHAOS
- **N. S. KRYLOV** Foundations of statistical mechanics
- L. Van HOVE Quantum ergodicity
- L. D. LANDAU and E. M. LIFSHITZ "Statistical Physics"

Average over the equilibrium ensemble should coincide with the expectation value in a generic individual eigenstate of the same energy – the results of measurements in a closed system do not depend on exact microscopic conditions or phase relationships if the eigenstates at the same energy have similar macroscopic properties

### **TOOL: MANY-BODY QUANTUM CHAOS**

# **CLOSED MESOSCOPIC SYSTEM**

# at high level density

**Two languages:** *individual wave functions thermal excitation* 

- \* Mutually exclusive ?
- \* Complementary ?
- \* Equivalent ?

**Answer depends on thermometer** 

# FAMILY OF ENTROPIES FOR A MESOSCOPIC SYSTEM

• THERMODYNAMIC (Boltzmann)

 $\rho(E) \propto \exp(S_{\rm th})$ 

• QUASIPARTICLE (Landau Fermi-liquid)

 $S_{\text{s.p.}}^{\alpha} = -\sum_{i} \{ n_{i}^{\alpha} \ln(n_{i}^{\alpha}) + (1 - n_{i}^{\alpha}) \ln(1 - n_{i}^{\alpha}) \}$ 

• INFORMATION (Shannon)

 $|\alpha\rangle = \sum_k C_k^{\alpha} |k\rangle, \quad S_{\inf}^{\alpha} = -\sum_k \{|C_k^{\alpha}|^2 \ln |C_k^{\alpha}|^2\}$ 

$$\langle n_i \rangle_E = [e^{(\epsilon_i - \mu)/T_{\text{s.p.}}} + 1]^{-1}$$

**Temperature T(E)** 

$$T_{\rm th} = \left(\frac{dS_{\rm th}}{dE}\right)^{-1}$$

$$T_{\rm inf} = \left(\frac{d\bar{S}_{\rm inf}}{dE}\right)^{-1}$$

# T(s.p.) and T(inf) = for individual states !



# Single – particle occupation numbers Thermodynamic behavior identical in all symmetry classes FERMI-LIQUID PICTURE



Artificially strong interaction (factor of 10) Single-particle thermometer cannot resolve spectral evolution

### Shell model level density (28Si, J=0, T=0)



Averaging over (a) 10 levels (b) 40 levels

(distorted edges)

Shell model versus Fermi-gas

a = 1.4/MeV a (F-G) = 2/MeV (**two parities**?)



### **EFFECTIVE TEMPERATURE of INDIVIDUAL STATES**

From occupation numbers in the shell model solution (dots) From thermodynamic entropy defined by level density (lines)

### MEASURING COMPLEXITY

Eigenstate  $|\alpha\rangle$  in a shell model basis  $|k\rangle$   $|\alpha\rangle = \sum_k C_k^{\alpha} |k\rangle$ Information entropy  $S^{\alpha} = -\sum_k |C_k^{\alpha}|^2 \ln |C_k^{\alpha}|^2$ No mixing:  $S^{\alpha} \to 0$ "Microcanonical" mixing:  $S^{\alpha} \to \ln N$ GOE:  $\overline{S^{\alpha}} = \ln(0.48N)$ 

Information entropy is basis-dependent - special role of mean field



### **INFORMATION ENTROPY AT WEAK INTERACTION**



# **INFORMATION ENTROPY of EIGENSTATES** (a) function of energy; (b) function of ordinal number

ORDERING OF EIGENSTATES OF GIVEN SYMMETRY SHANNON ENTROPY AS THERMODYNAMIC VARIABLE





1183 states

### Smart information entropy

(separation of center-of-mass excitations of lower complexity shifted up in energy)

**CROSS-SHELL MIXING WITH SPURIOUS STATES** 



Exp (S) Various measures Level density

<u>Information</u> <u>Entropy</u> in units of S(GOE)

Single-particle entropy of Fermi-gas **Invariant correlational entropy as signature of phase transitions** 

$$|\alpha(\lambda)\rangle = \sum_{k} C_{k}^{\alpha}(\lambda)|k\rangle.$$

*Eigenstates in an arbitrary basis* (Hamiltonian with random parameters)

$$\rho^{\alpha}_{kk'}(\lambda) = \overline{C^{\alpha}_k C^{\alpha*}_{k'}}$$

Density matrix of a given state (averaged over the ensemble)

$$S^{\alpha}(\lambda) = -\operatorname{Tr}\left\{\rho^{\alpha}\ln(\rho^{\alpha})\right\}$$
$$\lambda \in [\lambda, \lambda + \delta]$$

Correlational entropy has clear maximum at phase transition (extreme sensitivity)

Pure state: eigenvalues of the density matrix are 1 (one) and 0 (N-1),<br/>S=0Mixed state: between 0 and 1,S up to ln NFor two discrete points $r_{\pm}^{\alpha} = \frac{(1 \pm |\langle \alpha(\lambda) | \alpha(\lambda') \rangle|)}{2}$ 



Model of two levels with pair transfer Capacity 16 + 16, N=16 Critical value 0.3 (in BCS <sup>1</sup>/<sub>4</sub>) Averaging interval 0.01

First excited state "pair vibration"

No instability in the exact solution

Softening at the same point 0.3



Shell model 48Ca
 Ground state
 invariant entropy;
 phase transition
 depends on
 non-pairing
 interactions

Occupancy of f7/2 shell

Correlation energy ~ 2 MeV



(a) Invariant entropy and the line of phase transitions
(b) Occupancy of the f7/2 orbital
(c) Effective number of T=1 pairs



24 Mg

Isovector against isoscalar pairing Dependence on non-pairing interactions (phase transitions smeared, absolute values of entropy suppressed)

Critical value for T=0 phase transition: ~ 3 /Bertsch, 2009/



$$\mathcal{H}_P = \sum_{t=0,\pm 1} P_t^{\dagger} P_t$$

$$P_t = \frac{1}{\sqrt{2}} \sum_{j} [a_j a_j]_{J=0,T=1,T_3=t}$$



PAIRING PHASE TRANSITION

PAIR CORRELATOR as a THERMODYNAMIC FUNCTION



Pair correlator as a function of J

# **Yrast states** $\langle \mathcal{H}_P(J) \rangle = \langle \mathcal{H}_P(0) \rangle \left[ 1 - \frac{J(J+1)}{B} \right]^2.$

# Average over all states

Old semiclassical theory (Grin'& Larkin, 1965)

$$\Delta(J) \approx \Delta(0) \Bigg[ 1 - \frac{J(J+1)}{J_c^2} \Bigg] \label{eq:Delta(J)}$$

 $J_c = a \, \frac{\Delta(0) I_r}{l_0} \qquad \text{(too small)}$ 

Geometry of orbital space rather than Coriolis force

## **GLOBAL BEHAVIOR**



## Pair correlator in 24 Mg for all states of various spins

Central part of the spectrum is well described by statistical model with mean occupation numbers

## J=0, T=0 states in 24 Mg



Realistic single-particle energies + random interactions (Gaussian matrix elements with zero mean and the same variance as in realistic interaction) Enhancement – for the states of lowest complexity



Degenerate s.-p. energies + realistic interactions Growing level density quickly leads to chaos In the absence of the mean-field skeleton, pairing works for lowest states only

# **RESULTS**

- Regular behavior of pair correlator in a mesoscopic system
- Long tail beyond "phase transition"
- Similar picture for all spin and isospin classes
- In the middle semiclassical picture with average occupation numbers of single-particle orbitals
- Pairing is considerably influenced by non-pairing interaction
- Are the shell model results generic?
  - exact solution
  - rotational invariance
  - isospin invariance
  - well tested at low energy
  - with growing level density leads to many-body quantum chaos in agreement with random matrix theory
  - loosely bound systems and effects of continuum

DO WE UNDERSTAND ROLE of INCOHERENT INTERACTIONS ?

- Ground state predominantly J=0 (even A)
- Ordered structure of wave functions ?
- New aspects of quantum chaos:
- correlations between different symmetry sectors governed by the same Hamiltonian
- geometry of a mesoscopic system
- "random" mean field
- effects of time-reversal invariance
- exploration of interaction space
- manifestations of collective phenomena

# **ORDER FROM RANDOM INTERACTIONS ?**



$$H_{int} = \sum_{LA, tt_3; \{j\}} V_{Lt}(j_1 j_2; j_3 j_4) P_{LA, tt_3}^{\dagger}(j_1 j_2) P_{LA, tt_3}(j_3 j_4)$$

$$P_{LA,tt_3}(j_1j_2) = \frac{1}{\sqrt{1+\delta_{j_1j_2}}} [a_{j_1}a_{j_2}]_{LA,tt_3}$$

$$P_{LA,tt_3}^{\dagger}(j_1 j_2) = \frac{1}{\sqrt{1 + \delta_{j_1 j_2}}} \left[a_{j_2}^{\dagger} a_{j_1}^{\dagger}\right]_{LA,tt_3}$$

FULL ROTATIONAL INVARIANCE FERMI-STATISTICS  $P_{LA,m_3}(j_1j_2) = (-)^{j_1+j_2+L+t}P_{LA,m_3}(j_2j_1)$ RANDOM AMPLITUDES V(L) SYMMETRIC ENSEMBLE STATISTICS of GROUND STATE SPINS ?

C.W. Johnson, G.F. Bertsch, D.J. Dean, Phys. Rev. Lett. 80 (1998) 2749.

Non-equivalence of particle-particle and particle-hole channels



Spectra are chaotic: Gaussian level density,

Wigner-Dyson level spacing distribution,

**Exponential distribution of** 

off-diagonal many-body matrix elements

(average over many realizations)



Distribution of ground state spins

6 particles, j=11/2



Fraction of ground states of

spin J=0 and J=J(max)

(single j model)

### sd-SHELL MODEL <sup>24</sup>Mg

(a) degenerate  $\epsilon_{\text{s.p.}}$ , 63 random m. e.  $J_0 = 0, T_0 = 0$  59.1%; overlap 2%

(b) realistic  $\epsilon_{\text{s.p.}}$ , 63 random m.e.  $J_0 = 0, T_0 = 0$  49.3%; overlap 5.3%

(c) realistic  $\epsilon_{\text{s.p.}}$  and 6 pairing m.e., 57 random m.e.  $J_0 = 0, T_0 = 0$  67.8%; overlap 10.6%

(d) degenerate  $\epsilon_{\text{s.p.}}$ , 6 random pairing m. e.  $J_0 = 0, T_0 = 0$  92.2%; overlap 5.2%

Many spins 1/2:  $J_0 = 0$ ,  $T_0 = 0$  99% Quantum glass  $J_0 \sim \sqrt{N}$ ,  $H = \sum_{12} J_{12}(\mathbf{s}_1 \cdot \mathbf{s}_2)$ 

<u>GROUND STATE DISTRIBUTION</u> (6 particles, j=21/2)

J	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
0	0.61	12.7	65.4	61.9	65.3	54.5	80.5	55.2	64.1
2	1.45	3.6		0.8	0.8			0.6	
4	2.38	5.4	1.9	2.5	2.7		1.0	3.7	2.2
5	2.15	1.8				9.1			
6	3.18	6.4	4.8	6.5	14.7	9.1	1.7	6.5	4.9
8	3.74	3.6	3.4	2.6	2.2		1.8	4.7	3.3
10	4.41	4.1	2.6	3.0	5.8	9.1	1.2	3.5	2.4
12	4.53	4.4			1.3			1.0	
13	4.07	2.6						0.6	0.6
16	4.49	3.0			0.7			0.7	
18	4.31	3.1	1.0	1.7				1.3	1.0
28	2.05	1.4	0.9	1.2		9.1		0.9	1.0
33	0.94	0.9						0.6	
36	0.66	0.9		0.7					
42	0.19	0.5	0.8	0.6				0.9	0.7
46	0.05	0.3	0.8	1.0				0.8	0.7
48	0.05	0.3	11.8	11.5	1.4	9.1	9.2	12.4	11.7

(a) Natural multiplicity
(b) Boson approximation
(c) Uniform V(L) from -1 to +1
(d) Gaussian V(L), dispersion 1
(e) Uniform V(L) scaled 1/(2L+1)
(f) [Zhao *et al.*, 2002]
(g) Uniform V(L) except V(0)=-1
(h) As (g) but V(0)=+1
(i) As (g) and (h) but V(0)=0



**Degenerate orbitals** 63 random m.e. **Realistic orbitals** 63 random m.e. **Realistic orbitals** and 6 pairing m.e., 57 random Degenerate orbitals, 6 random pairing m.e.

### Do we understand the role of incoherent interactions in many-body physics ?

- -- Random interactions prefer ground state spin 0
- -- Probability of maximum spin enhanced
- -- Ordered wave functions? Collectivity?
- -- New aspect of quantum chaos: correlations between the symmetry classes
- -- Geometric chaoticity of angular momentum coupling
- -- Bosonization of fermion pairs?
- -- Role of time-reversal invariance



Widths of level distributions in the J-class for a single-j model

(6 *particles*)

### **IDEA of GEOMETRIC CHAOTICITY**

Angular momentum coupling as a random process

Many quasi-random paths

**Statistical theory of parentage coefficients** ? **Effective Hamiltonian of** <u>classes</u>

$$\tilde{H} = H_0 + H_2 \mathbf{J}^2 + H_4 \mathbf{J}^4 + \dots$$
$$+ H_0' \mathbf{T}^2 + H_2' \mathbf{T}^2 \mathbf{J}^2 \dots$$

Interacting boson models, quantum dots, ...

#### STATISTICAL THEORY

Every set of random parameters corresponds to the mean field with occupation numbers  $n_m$   $H = \sum_{L\Lambda} V_L P_{L\Lambda}^{\dagger} P_{L\Lambda} \Rightarrow E(\{n_m\}) = \frac{1}{2} \sum_{mm'} V_{mm'} \langle n_m n_{m'} \rangle$   $V_{mm'} = 2 \sum_{L\Lambda} (C_{jm \ jm'}^{L\Lambda})^2; \quad \langle n_m n_{m'} \rangle \approx n_m n_{m'}$   $\tilde{E} = \frac{1}{2} \sum_{mm'} V_{mm'} n_m n_{m'} - \mu \sum_m n_m - \gamma \sum_m m n_m$  $E(N, M) = \frac{1}{2} [\mu(N, M) + \gamma(N, M)M]$ 

#### MINIMIZATION:

$$n_m = \bar{n} + (mM)/(\Omega\langle m^2 \rangle)$$
  
$$\mp (\bar{n} - 1/2)/[\bar{n}(1-\bar{n})] [M^2/(\Omega^2\langle m^2 \rangle^2)] (m^2 - \langle m^2 \rangle^2)$$



Effective Hamiltonian for N particles and given M=J explained by geometry:

### j + j = L

Cranking frequency is linear in M





Dotted lines – statistical predictions for the state M=J



Predictions for energy of individual states with J=0 and J=J(max)

compared to exact diagonalization

(6 particles, j = 21/2)





### **Collectivity of low-lying states**



Distribution of overlaps  $x = |\langle 0|s = 0 \rangle|^2$ ,  $0 \le x \le 1$ 

|0> ground state of spin J = 0 in the random ensemble |s = 0> fully paired state of seniority s = 04 particles on j = 15/2 – dimension d(0) = 3 (left) 6 particles on j = 15/2 – dimension d(0) = 4 (right) Completely random overlaps:

$$P(d=3) \sim 1/\sqrt{x}, \qquad P(d=4) \sim (1-x)^{3/2}/\sqrt{x}$$

### **Collectivity out of chaos:**

Johnson, Dean,	Bertsch 1998
V.Z., Volya	2004
Johson, Nam	2007
Horoi, V.Z.	2009

### **Predominance of prolate deformations :**

Teller, Wheeler1938 – alpha-carcassBohr, Wheeler1939 - liquid dropLemmer1960 - extra kinetic energy of large orbital momentaCastel, Goeke1976 - the same in terms of collective energyCastel, Rowe, Zamick1990 - adding self-consistencyFrisk1990 - single-particle level densityArita et al.1998 -periodic orbits and their bifurcationsDeleplanque et al. 2004 –

Hamamoto, Mottelson 1991 - metallic clusters

**2009 – surface properties of deformed field** "The nature of the parameters responsible for the prolate dominance has not yet been adequately understood"



FIG. 5. Splitting of levels originating from the 1h shell in spheroidal cavity. The asymptotic quantum numbers  $[N n_z \Lambda]$  are assigned to the levels on both prolate and oblate sides. See the text for details.

IKUKO HAMAMOTO AND BEN R. MOTTELSON

PHYSICAL REVIEW C 79, 034317 (2009)



FIG. 3. (a) One-particle energies of spheroidal cavity as a function of deformation parameter. At spherical point  $\alpha = 0$  the quantum numbers,  $n\ell$ , are written. The particle number of the system obtained by filling all lower-lying levels is written with a circle in several places. Positive-parity levels are plotted by solid curves, while negative-parity levels by dotted curves. (b) One-particle energies of spheroidal cavity as a function of deformation parameter, for the system larger than that plotted in (a).



ALAGA RATIO

$$A = \frac{Q^2(2_1^+)}{B(E2;0^+ \Rightarrow 2_1^+)}$$
  
Spherical  $A = 0$ , rigid rotor  $A = 4/49$   
(take sequences  $J=0, J=2$ )



## *N*=*10 000*

4 neutrons + 4 protons 0f7/2 + 1p3/2

Interaction: (a) weak (b) strong

λ	N(0, 2)	$\frac{N(Q < 0)}{N(0,2)}$	N(E4/E2)	$N_{\rm rot}$	Nprotate Ngas
0.05	1398	0.62	50	3	1.00
0.5	3320	0.54	322	100	0.74
1.0	3846	0.52	354	100	0.70
1.5	4056	0.52	378	119	0.72
2.0	4129	0.52	371	122	0.74
3.0	4196	0.52	366	126	0.70
4.0	4233	0.52	367	125	0.71
10.0	4295	0.53	368	112	0.74

Here A(rot) = 4.10 Selection N(rot): A between 3.90 and 3.30

$$Q(J) = Q_0 \frac{3K^2 - J(J+1)}{(J+1)(J+3)} \Rightarrow -Q_0 \frac{J}{J+3}$$

Selection N(prolate): Q(2)<0

λ	N(0,2)	$\frac{N(Q\!<\!\!0)}{N(0,\!2)}$	N(E4/E2)	$N_{\rm rot}$	$\frac{N_{\text{prolate}}}{N_{\text{rot}}}$
1.0	3156	0.55	289	39	0.77
2.0	3153	0.53	264	34	0.79
3.0	3140	0.52	266	34	0.82
4.0	3156	0.53	240	35	0.89

4 protons + 6	neutrons	
N(rot) lower,	N(prolate)	higher

λ	N(0,2)	$\frac{N(Q < 0)}{N(0,2)}$	N(E4/E2)	$N_{\rm rot}$	$\frac{N_{\text{protate}}}{N_{\text{tot}}}$
1.0	4569	0.54	322	120	0.73
2.0	4530	0.52	339	116	0.75
3.0	4490	0.52	349	119	0.76
4.0	4461	0.52	371	124	0.81

λ	N(0,2)	$\frac{N(Q < 0)}{N(0,2)}$	N(E4/E2)	$N_{\rm rot}$	$\frac{N_{\text{prolate}}}{N_{101}}$
1.0	2170	0.43	176	55	0.07
2.0	2185	0.37	193	70	0.06
3.0	2212	0.35	188	73	0.05

4 neutrons + 4 protons 1p3/2 + 0f7/2 (inverted sequence)

> 4 neutrons + 4 protons 0f7/2 + 0g9/2 (opposite parity)



### **Matrix elements**

- 9-12: pf mixing,
- 16 : quadrupole pair transfer,
- 20-24: quadrupole-quadrupole forces

in particle-hole channel = formation of the mean field

	$\langle j_1 j_2   V   j_3 j_4 \rangle (JT)$	Full average	Prolate average
1	$\langle ff V ff\rangle(10)$	0.021	0.078
2	$\langle ff V ff\rangle(30)$	0.012	0.374
3	$\langle ff V ff\rangle(50)$	-0.007	0.227
4	$\langle ff V ff\rangle$ (70)	0.007	0.089
5	$\langle ff V ff\rangle(01)$	0.008	-0.252
<b>6</b>	$\langle ff V ff\rangle(21)$	-0.020	0.062
7	$\langle ff V ff\rangle(41)$	0.026	0.869
8	$\langle ff V ff\rangle$ (61)	0.034	0.282
9	$\langle ff V pf\rangle(30)$	0.004	-1.033
10	$\langle ff V pf\rangle(50)$	0.022	-1.630
11	$\langle ff V pf\rangle(21)$	0.006	-1.010
12	$\langle ff V pf\rangle(41)$	-0.010	-2.826
13	$\langle ff V pp angle(10)$	0.014	-0.451
14	$\langle ff V pp\rangle(30)$	-0.043	-0.739
15	$\langle ff V pp\rangle(01)$	0.025	-0.223
16	$\langle ff V pp\rangle(21)$	-0.036	-1.977
17	$\langle pf V pf\rangle(20)$	0.007	0.088
18	$\langle pf V pf angle (30)$	0.010	-0.393
19	$\langle pf V pf angle(40)$	-0.018	-0.092
20	$\langle pf V pf\rangle(50)$	0.004	-1.328
21	$\langle pf V pf\rangle(21)$	-0.052	-0.376
22	$\langle pf V pf\rangle$ (31)	-0.019	-0.507
23	$\langle pf V pf\rangle(41)$	0.011	-1.685
24	$\langle pf V pf\rangle(51)$	-0.003	1.276
25	$\langle pf V pp\rangle(30)$	0.007	-0.023
26	$\langle pf V pp\rangle(21)$	0.014	0.133
27	$\langle pp V pp\rangle(10)$	0.003	0.400
28	$\langle pp V pp\rangle(30)$	0.003	0.779
29	$\langle pp V pp\rangle(01)$	0.054	0.102
30	$\langle pp V pp\rangle(21)$	0.005	-0.092

## **QUESTIONS and PROBLEMS**

- Geometric chaoticity
- Extension to continuum:
  - level densities
  - correlations and fluctuations of cross sections
  - mesoscopic universal conductance fluctuations
  - dependence on intrinsic chaos
  - loosely bound nuclei
- Microscopic picture of shape phase transitions
- New approximations for large systems: pairing + collective motion + incoherent chaos

Statistical Properties of Nuclear Structure

Vladimir Zelevinsky

**NSCL/ Michigan State University** 

Supported by NSF

Lund, May 2009