

Nuclear level densities by the shell model Monte Carlo method

Yoram Alhassid (Yale University)



- The shell model Monte Carlo (SMMC) approach and level densities
- Recent technical developments:

Odd-even nuclei: circumventing a sign problem

Direct SMMC calculations of *level* densities
(spin degeneracy is not counted)

- Applications:

Level densities in even and odd nickel isotopes

Level densities in heavy rare-earth nuclei

- Conclusion and prospects

Shell model Monte Carlo (SMMC) method

- Most microscopic treatments of heavier nuclei are based on mean-field methods but important correlations can be missed.
- The interacting shell model accounts for correlations but diagonalization methods are limited to $\sim 10^{11}$ configurations.

The SMMC method enables microscopic calculations in spaces that are many orders of magnitude larger ($\sim 10^{30}$) than those that can be treated by conventional methods.

Gibbs ensemble $e^{-\beta H}$ ($\beta = 1/T$) can be written as a superposition of ensembles U_σ of *non-interacting* nucleons in time-dependent fields $\sigma(\tau)$

$$e^{-\beta H} = \int \mathcal{D}[\sigma] G_\sigma U_\sigma$$

- The integrand reduces to matrix algebra in the single-particle space.
- The high-dimensional integration over σ is evaluated by Monte Carlo methods.

Lang, Johnson, Koonin, Ormand, Phys. Rev. C 48, 1518 (1993);
Alhassid, Dean, Koonin, Lang, Ormand, Phys. Rev. Lett. 72, 613 (1994).

Thermodynamic approach to level densities

H. Nakada and Y.A., PRL **79**, 2939 (1997)

- Calculate the thermal energy $E(\beta) = \langle H \rangle$ and integrate $-\partial \ln Z / \partial \beta = E(\beta)$ to find the partition function $Z(\beta)$
- The *average* level density is found from $Z(\beta)$ in the saddle-point approximation:

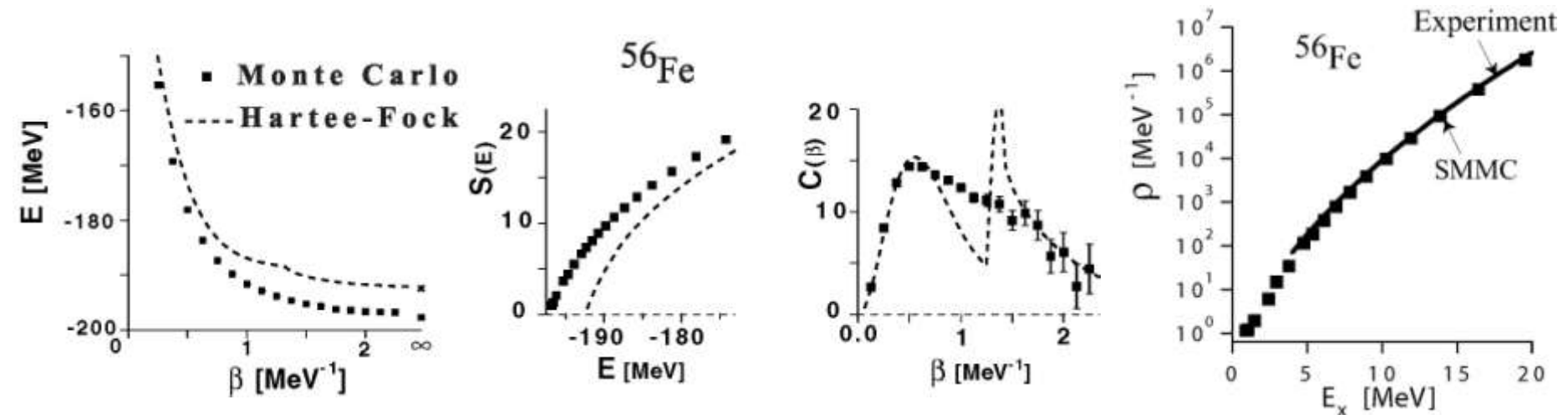
$$\rho(E) \approx \frac{1}{\sqrt{2\pi T^2 C}} e^{S(E)}$$

$S(E)$ = canonical entropy;

C = canonical heat capacity.

$$S(E) = \ln Z + \beta E$$

$$C = -\beta^2 \partial E / \partial \beta$$



Technical developments

Odd-particle-number systems in SMMC: circumventing a sign problem

A. Mukherjee and Y. Alhassid, Phys. Rev. Lett. 109, 032503 (2012)

Applications of SMMC to odd-even and odd-odd nuclei has been hampered by a sign problem that originates from the projection on odd number of particles.

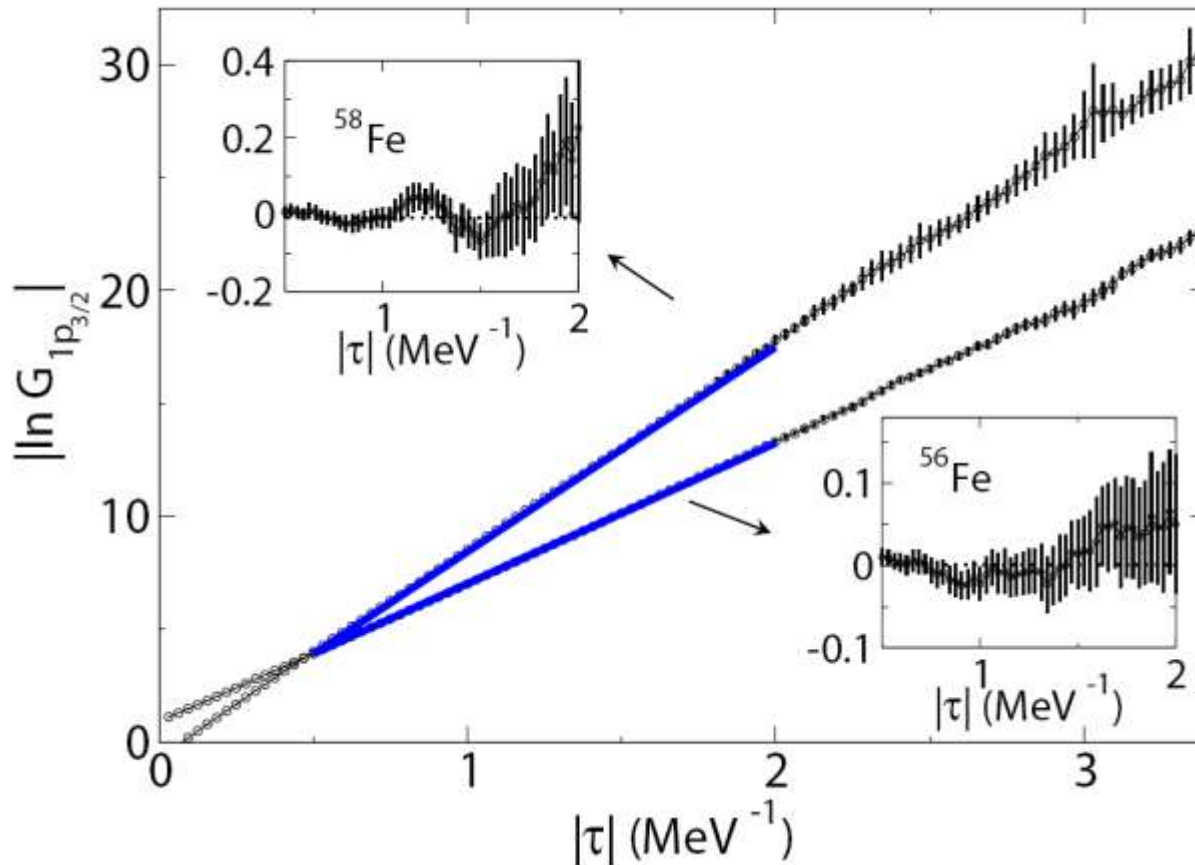
- We introduced a method to calculate the ground-state energy of the odd-particle system that avoids this sign problem.

We calculate the imaginary-time scalar single-particle Green's functions in even-even nuclei for all single-particle orbitals $\nu = n l j$:

$$G_{\nu}(\tau) = \sum_m \left\langle T a_{\nu m}(\tau) a_{\nu m}^{\dagger}(0) \right\rangle$$

In the asymptotic regime in τ

$$G_{\nu}(\tau) \sim e^{-\beta[E_j(A \pm 1) - E_{gs}(A)] |\tau|}$$

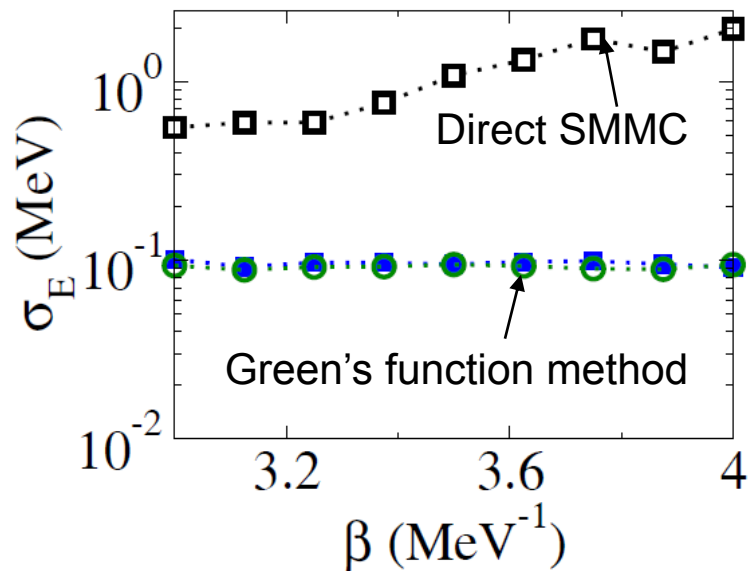
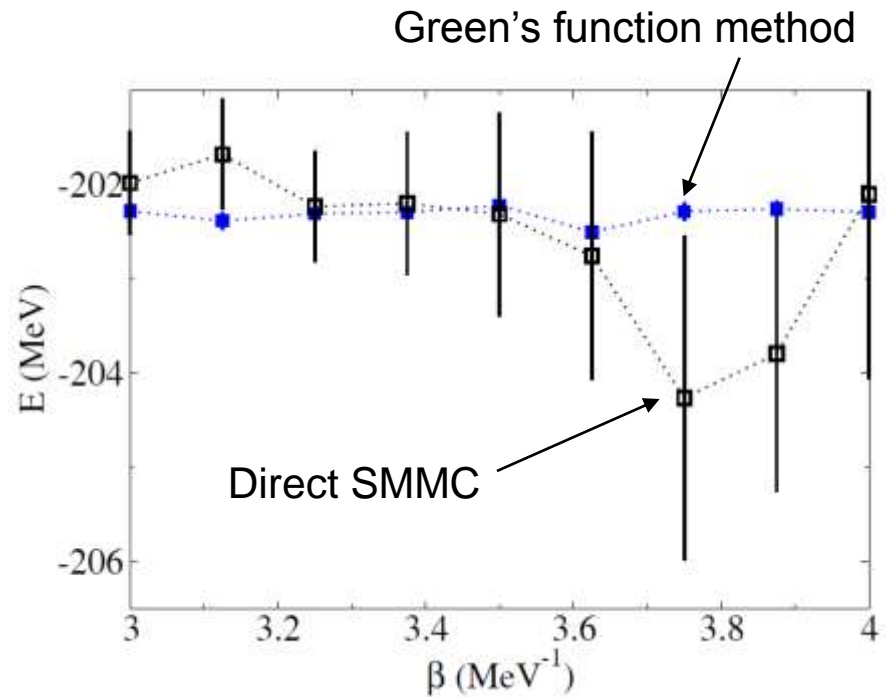


- The energy difference between the lowest energy of the odd-particle system for a given spin j and the ground-state energy of the even-particle system can be extracted from the slope of $\ln G_v(\tau)$

- Minimize $E_j(A \pm 1)$ to find the ground-state energy and its spin j .

Statistical errors of ground-state energy: direct SMMC versus Green's function method

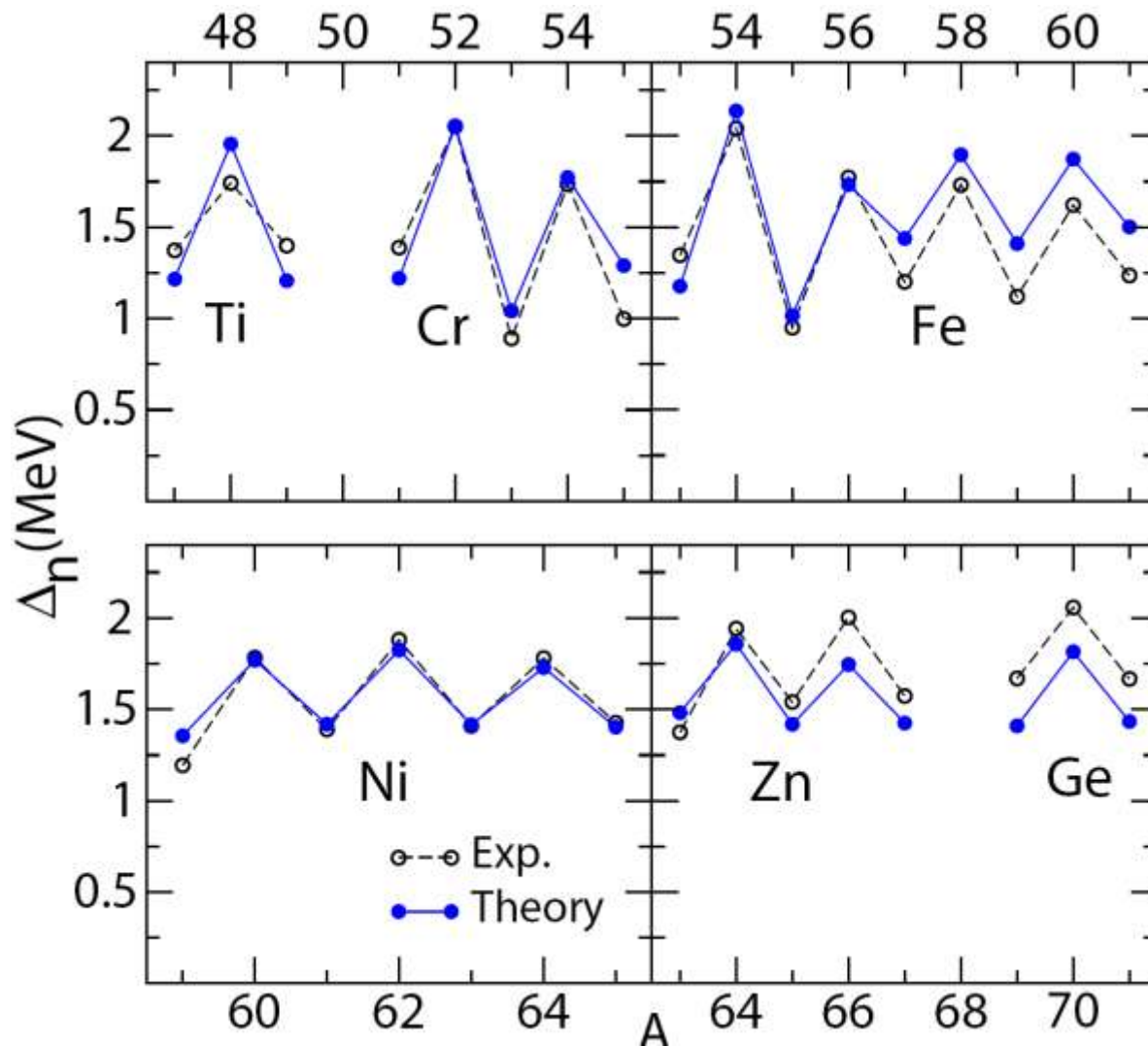
Energy versus β



Standard deviation of energy

Application: pairing gaps in iron-region nuclei from odd-even mass differences

- Complete *fpg9/2*-shell.



Direct SMMC calculations of level densities (do not include spin degeneracy)

Y. Alhassid, M. Bonett-Matiz, S. Liu and H. Nakada, arXiv:1304.7258

- In SMMC, the thermal energy is calculated by tracing over the complete Hilbert space.
⇒ The calculated SMMC density ρ is the *state* density, in which the $2J+1$ spin degeneracy of level with spin J is counted.
- However, experiments often measure the *level* density $\tilde{\rho}$, in which each level is counted once irrespective of its spin degeneracy.

Can we calculate the level density directly in SMMC ?

For each level, the state with the lowest non-negative spin projection M Appears exactly once.

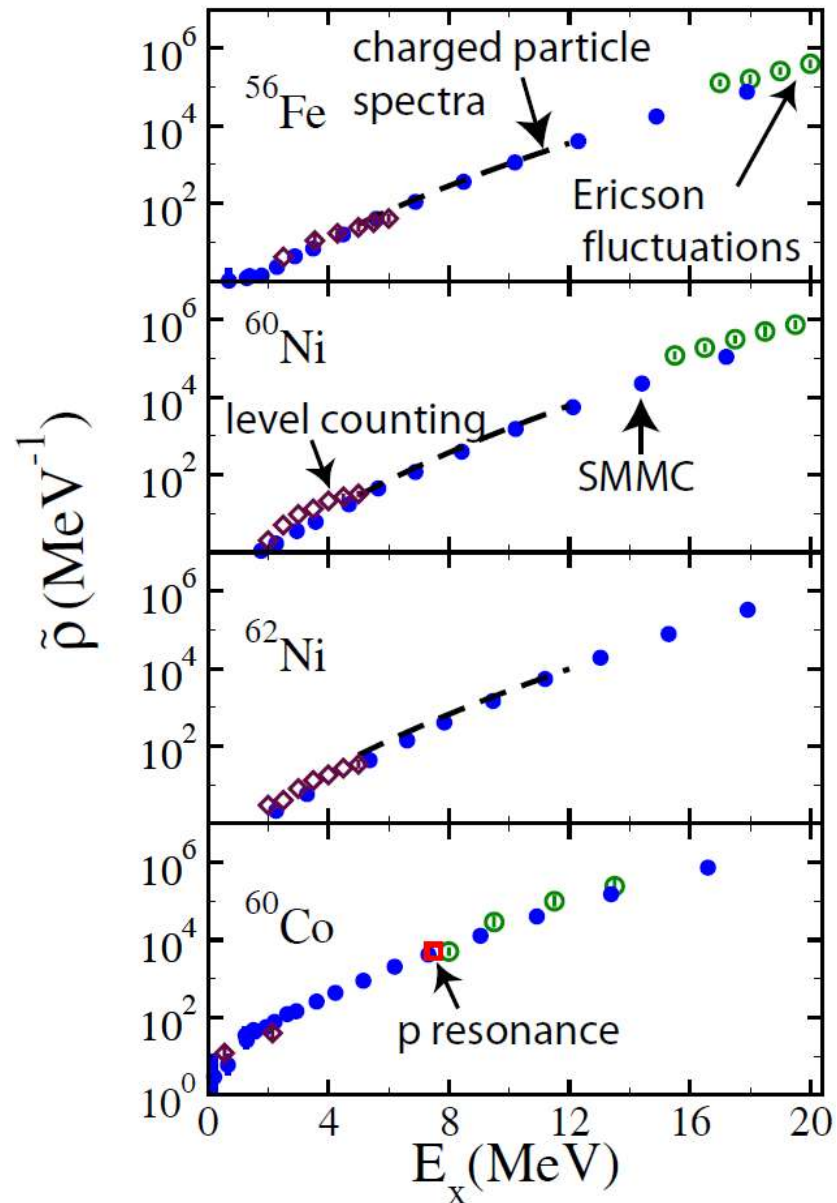
We denote by ρ_M the density at fixed spin projection M . Then:

$$\tilde{\rho} = \rho_{M=0} \quad \text{for even-mass nuclei}$$

$$\tilde{\rho} = \rho_{M=1/2} \quad \text{for odd-mass nuclei}$$

SMMC level densities in iron region nuclei

- Close agreement with experimental data



Spin cutoff parameter from state-to-level density ratio

Spin cutoff model

$$\frac{\rho_J}{\rho} = \frac{2J+1}{2\sqrt{2\pi}\sigma^3} e^{-J(J+1)/2\sigma^2}$$

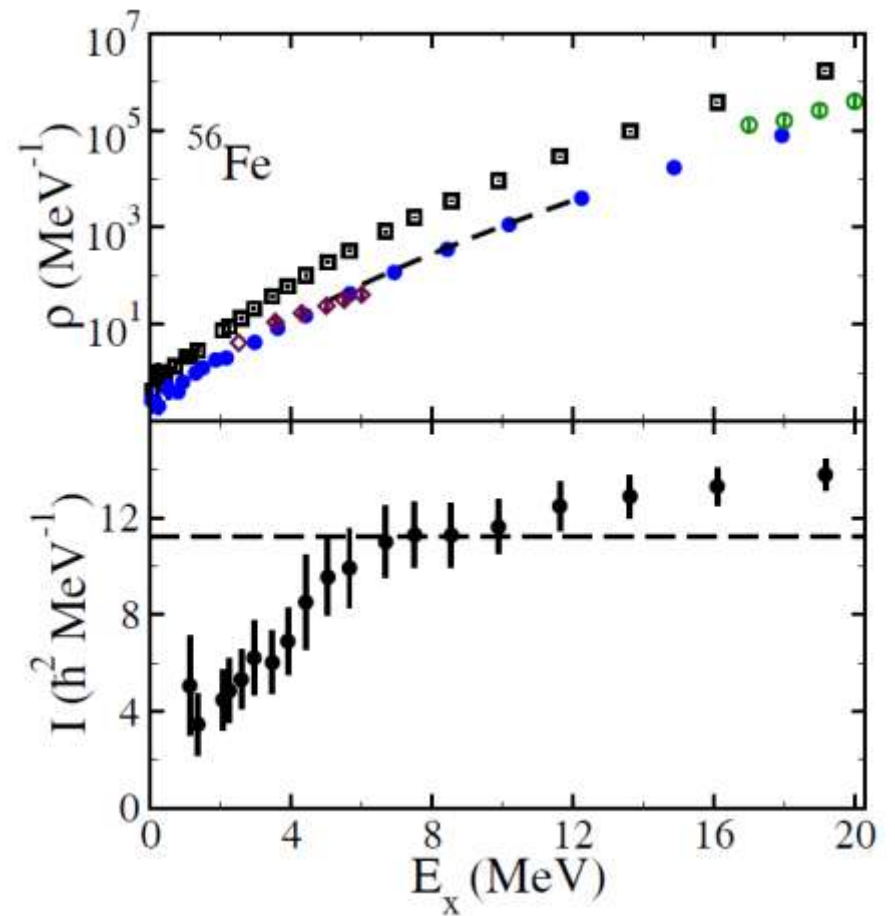
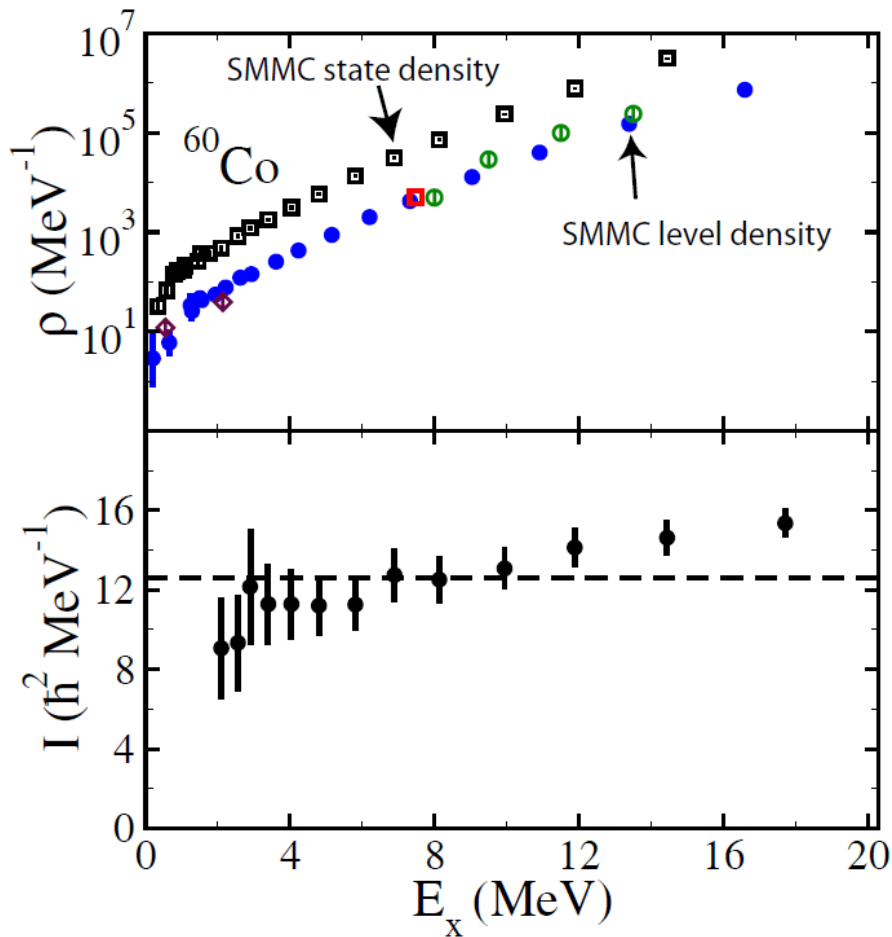
where $\sigma = \sigma(E_x)$ is the spin cutoff parameter.

- There is a relation between the level density and state density:

$$\tilde{\rho}(E_x) = \sum_J \rho_J(E_x) = \frac{1}{\sqrt{2\pi}\sigma} \rho(E_x)$$

\Rightarrow σ can be determined from the state-to-level density ratio.

Moment of inertia I is determined from $\sigma^2 = \frac{IT}{\hbar^2}$



- In even-even nuclei we observe suppression of the moment of inertia at low excitation energies (effect of pairing correlations).

Microscopic level densities of nickel isotopes: theory versus experiment

M. Bonett-Matiz, A. Mukherjee and Y. Alhassid, arXiv:1305.0250,
PRC Rapid Comm, in press

- Recent determination of level densities in nickel isotopes from proton evaporation spectra by the Ohio University group (A. Voinov, S. Grimes et al.).
- We can now calculate accurate ground-state energies for both even-even and even-odd isotopes.

Even-mass isotopes

At low temperatures we can use a two-level model: only J=0 ground state and first excited state contribute to thermal observables.

The ground-state energy E_0 and excitation energy E_x^{2+} of the first J=2 state can be determined from $\langle J^2 \rangle$ and thermal energy E

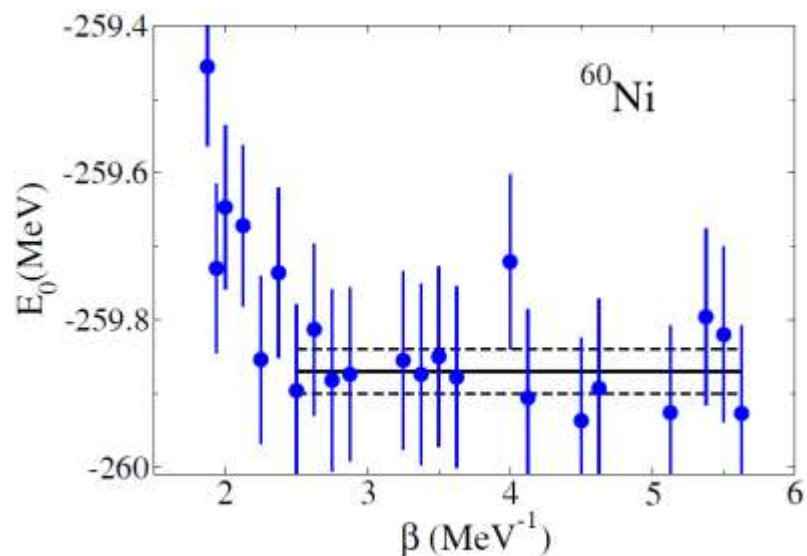
$$\langle J^2 \rangle = \frac{30}{5 + e^{\beta E_x^{2+}}}$$

$$E = E_0 + \frac{5E_x^{2+}}{5 + e^{\beta E_x^{2+}}}$$

$$E_0 = -259.87 \pm 0.03 \text{ MeV}$$

$$E_x^{2+} = 1.20 \pm .07 \text{ MeV}$$

(Exp 1.33 MeV)



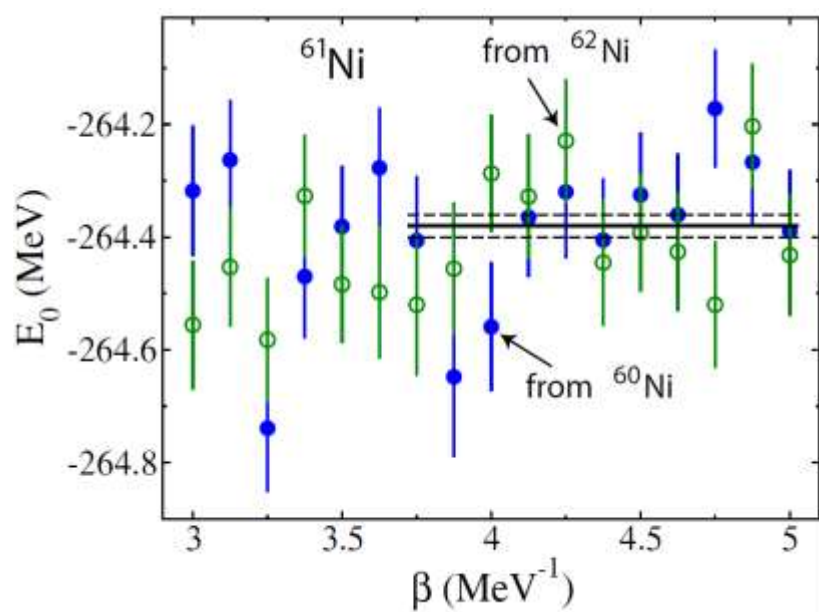
Odd-mass isotopes

Use Green's function method:

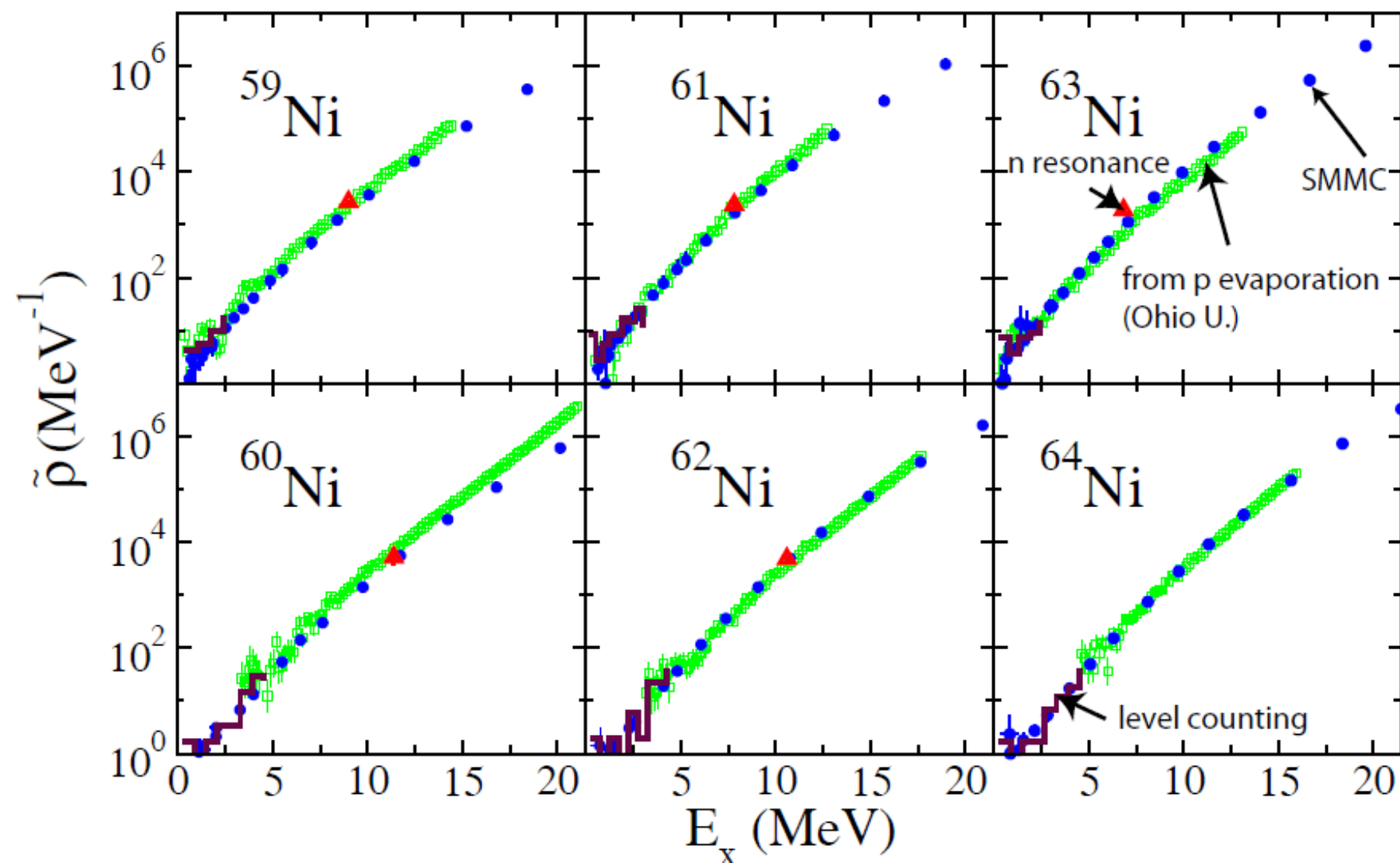
$$E_0 = -264.38 \pm 0.01 \text{ MeV}$$

Statistical error comparable
to the error for the even nucleus

Excitation energy $E_x = E - E_0$
(to compare with experiments)

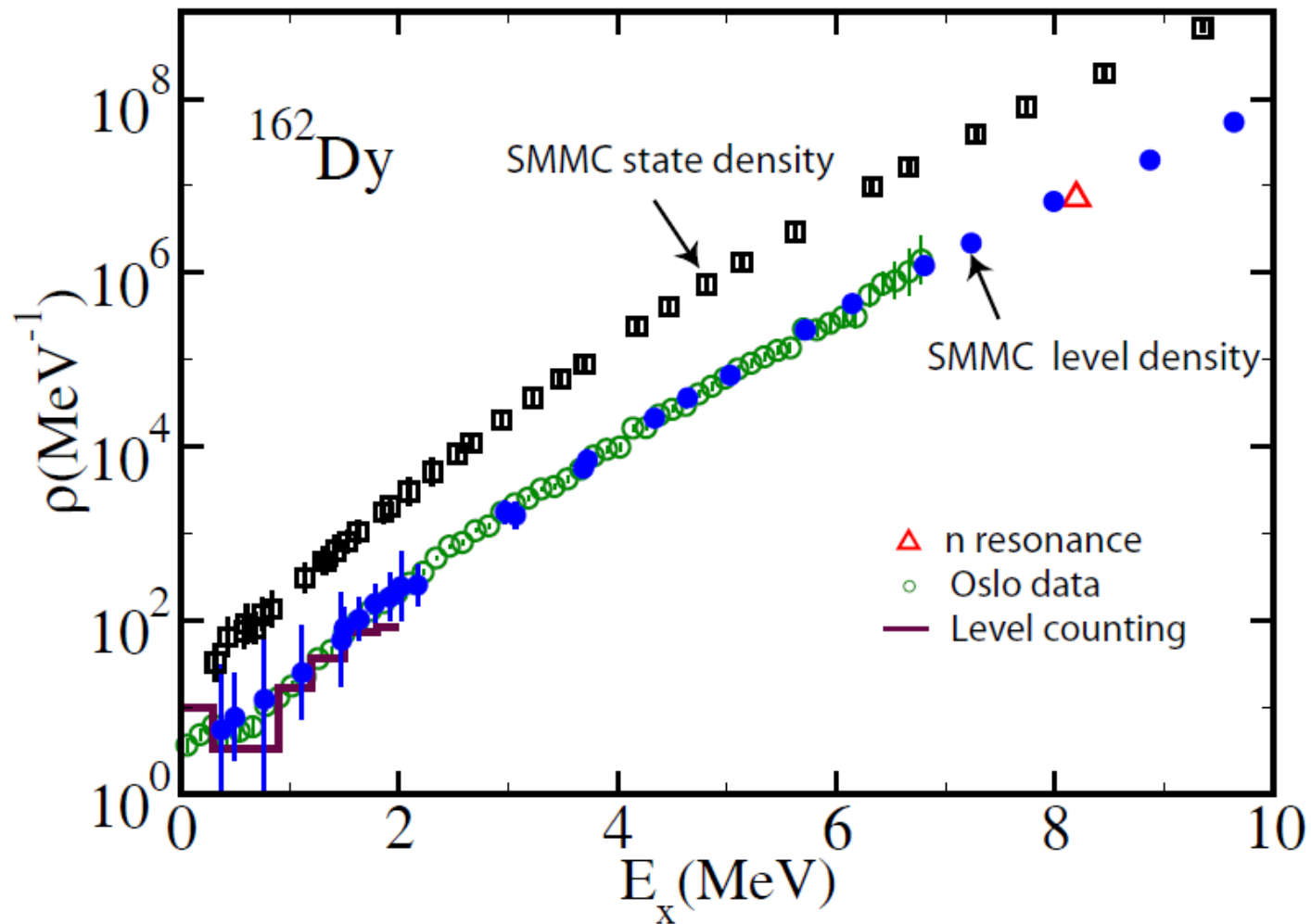


Level densities of nickel isotopes



State density versus level density in heavy nuclei (^{162}Dy)

Y. Alhassid, M. Bonett-Matiz, S. Liu and H. Nakada, arXiv:1304.7258



- Excellent agreement of the SMMC level density with experimental data

Conclusion

- Microscopic calculation of nuclear *state* and *level* densities are now possible in very large model spaces using the shell model Monte Carlo method.
- Odd-particle systems: circumventing a Monte Carlo sign problem.
- Close agreement with recent experimentally determined level densities in mid-mass and heavy nuclei.

Prospects

- Direct comparison of spin-parity projected densities with neutron resonance data
- Applications to unstable and exotic nuclei.