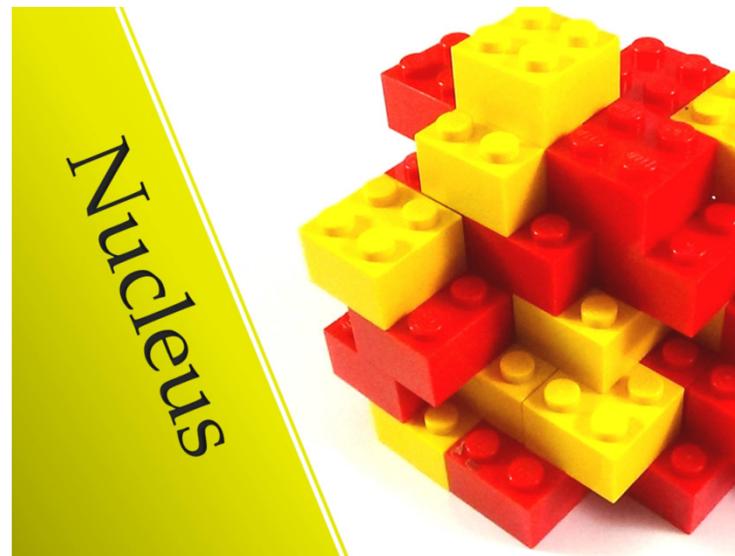


# A new formulation of the nuclear level density by spin and parity

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# Introduction

Level densities are important for nuclear reaction calculations. They are typically calculated with the **Constant Temperature (CT)** and **Back Shifted Fermi Gas (BSFG)** formulations. Each formulation has two parameters that are compiled in the **IAEA Reaction Input Parameter Library (RIPL)**.

- Both models calculate total level densities independent of spin/parity.
- Spin distribution is calculated with a spin distribution function which is dependent on the **spin cutoff parameter  $\sigma_c$** .
- Parity is not calculated.

All reaction experiments populate a subset of spins and parities.

- The spin distribution function is invalid at low excitation energy.
- Level density parameters and  $\sigma_c$  are calculated using low energy level data.
- At low energies for most nuclei the levels are predominantly one parity.
- Comparing reaction data to RIPL total level densities is invalid.

Today I will discuss a modification of the CT formulation that is

- Valid for all spins and parities
- Applicable to nuclei with no resonance data

# Level Density Formulations

## Constant Temperature (CT)

$$N(E) = \exp \left[ \frac{(E - E_0)}{T} \right]$$
$$\rho(E, J) = \frac{f(J) \cdot N(E)}{T}$$

*E* - level energy

*N(E)* - level sequence number

*E*<sub>0</sub> - back shift parameter

*T* - critical energy for breaking  
nucleon pairs

T. Ericson NP 11, 481 (1959)

## Spin Distribution Function

$$f(J) = \frac{2J + 1}{2\sigma_c^2} \exp \left[ -\frac{(J + \frac{1}{2})^2}{2\sigma_c^2} \right]$$

T. Ericson, Adv. Phys. 9, 425 (1960)

## Back Shifted Fermi Gas (BSFG)

$$\rho(E, J) = f(J) \frac{\exp[2\sqrt{a(E - E_1)}]}{12\sqrt{2}\sigma_c a^{0.25}(E - E_1)^{1.25}}$$

*a* - level density parameter

*E*<sub>1</sub> - back shift parameter

*σ*<sub>c</sub> - spin cutoff parameter

T.D. Newton, Can. J. Phys. 34, 804 (1956),  
A.G.W. Cameron, Can. J. Phys. 36, 1040 (1958)

*E*<sub>0</sub>, *T*, *a*, *E*<sub>1</sub>, and *σ*<sub>c</sub> are parameters, see  
T. von Egidy and D. Bucurescu, Phys. Rev. C 72,  
044311 (2005) and RIPL-3.

Calculation of these parameters is  
described by Gilbert and Cameron, Can. J.  
Phys. 43, 1446 (1965).

Other models take into account shell  
and collective effects or apply combinatorial  
methods.

# CT Formulation

$$N(E) = \exp \left[ \frac{(E - E_0)}{T} \right]$$
$$\rho(E, J) = \frac{f(J) \cdot N(E)}{T}$$

*For the rest of this talk I will discuss the CT formulation.*

## Basic assumptions

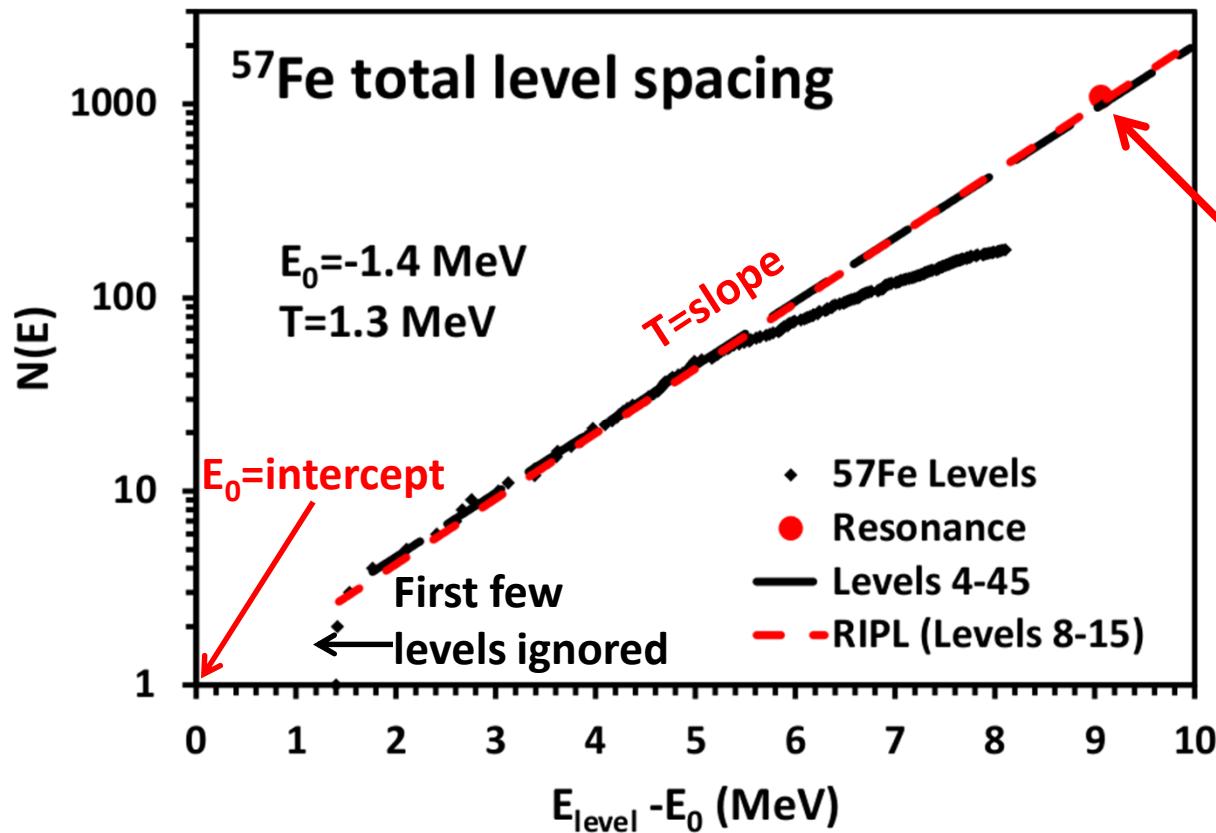
- The total level density increases exponentially with a temperature  $T$  and a back shifted level energy  $(E - E_0)$ .
- The level density for each spin has the same  $T$  and  $E_0$ .
- $T$  is a fundamental parameter that can be accurately described, for example, as the BCS critical temperature (**Moretto et al, Journal of Physics: Conference Series 580 (2015) 012048**) for  $A > 100$ .

$$T_{CR} = \frac{2\Delta_0}{3.53}, \quad \Delta_{BM} \approx 12A^{-\frac{1}{2}}, \quad T \approx 6.80A^{-\frac{1}{2}}$$

$\Delta_{BM}$  is the gap parameter from the liquid drop model (**Bohr and Mottelson**)

- $E_0$  is a meaningless fitting parameter
- Spin is a separable function  $f(J)$ .
- Parity is ignored.
- The useful level energy range of the CT formulation is not apparent.

# How are the CT parameters derived?



*Level sequence numbers increase exponentially for all nuclei. This is a fundamental observation of RIPL.*

The level number  $N(E_{S_n})$  at the neutron separation energy is

$$N(E_{S_n}) = \frac{T}{D0 \cdot f(1/2^+)}$$

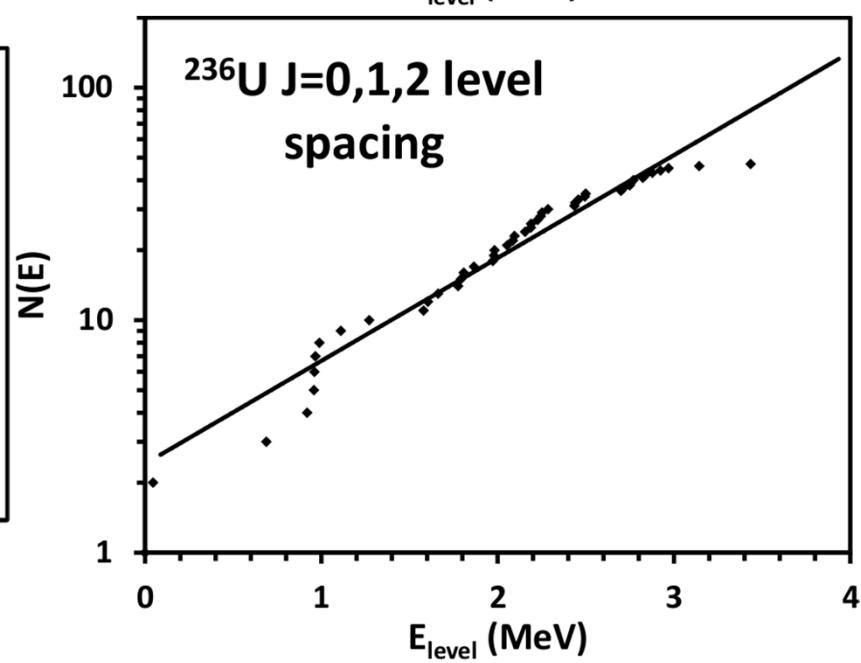
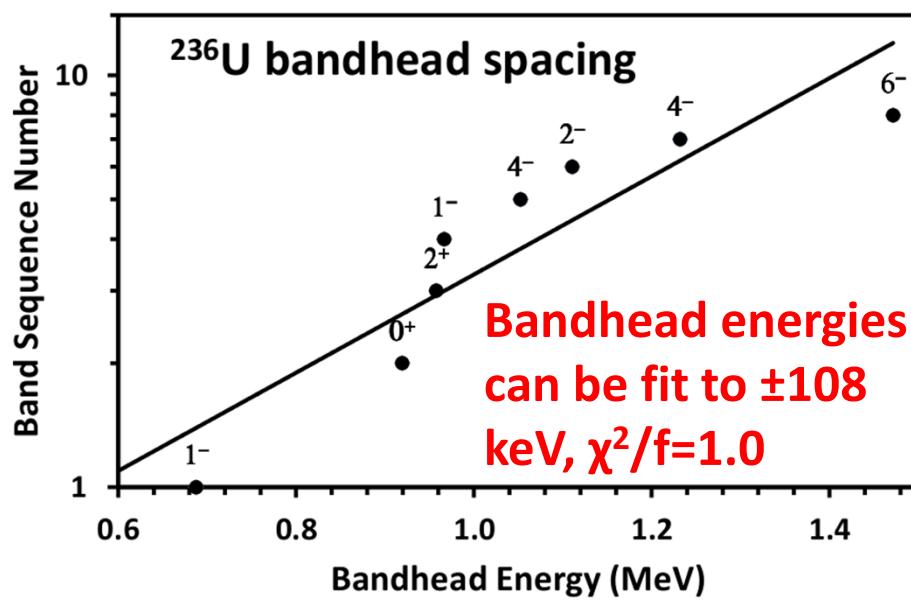
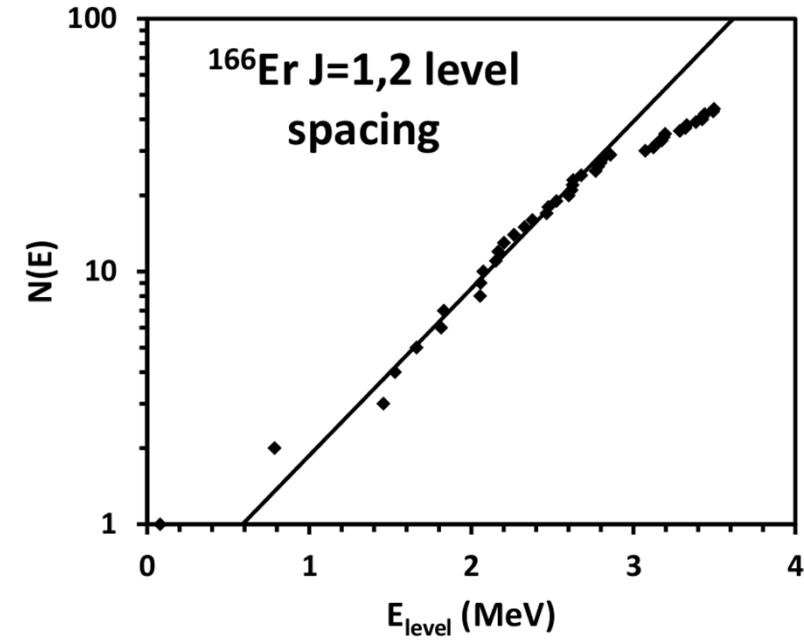
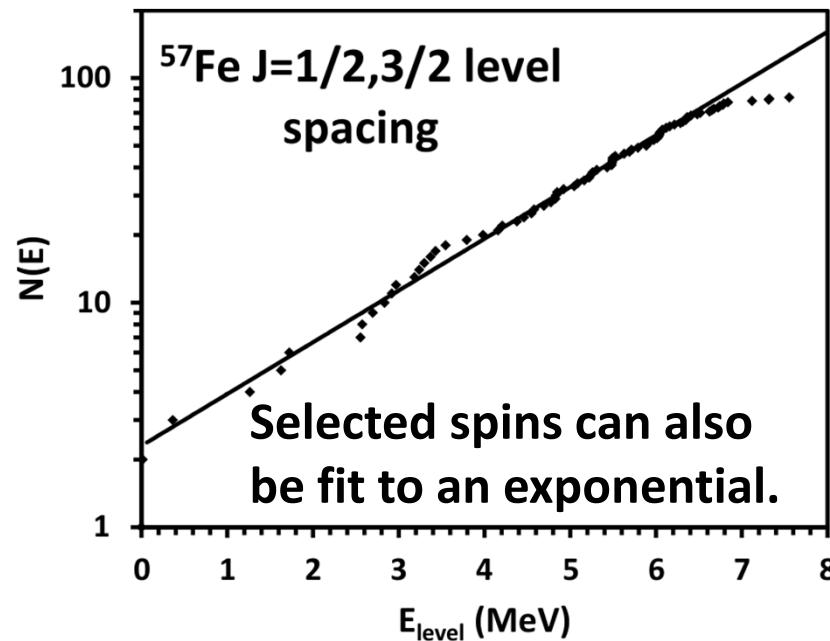
where  $D0$  is the s-wave n-capture level spacing and  $f(1/2^+) = 0.5 \cdot f(1/2)$

From the spin distribution function.

$T$  and  $E_0$  are derived solely from an exponential fit to the low-lying levels.

$T$  is independent of  $E_0$

# Level spacing increases exponentially for all $J^\pi$



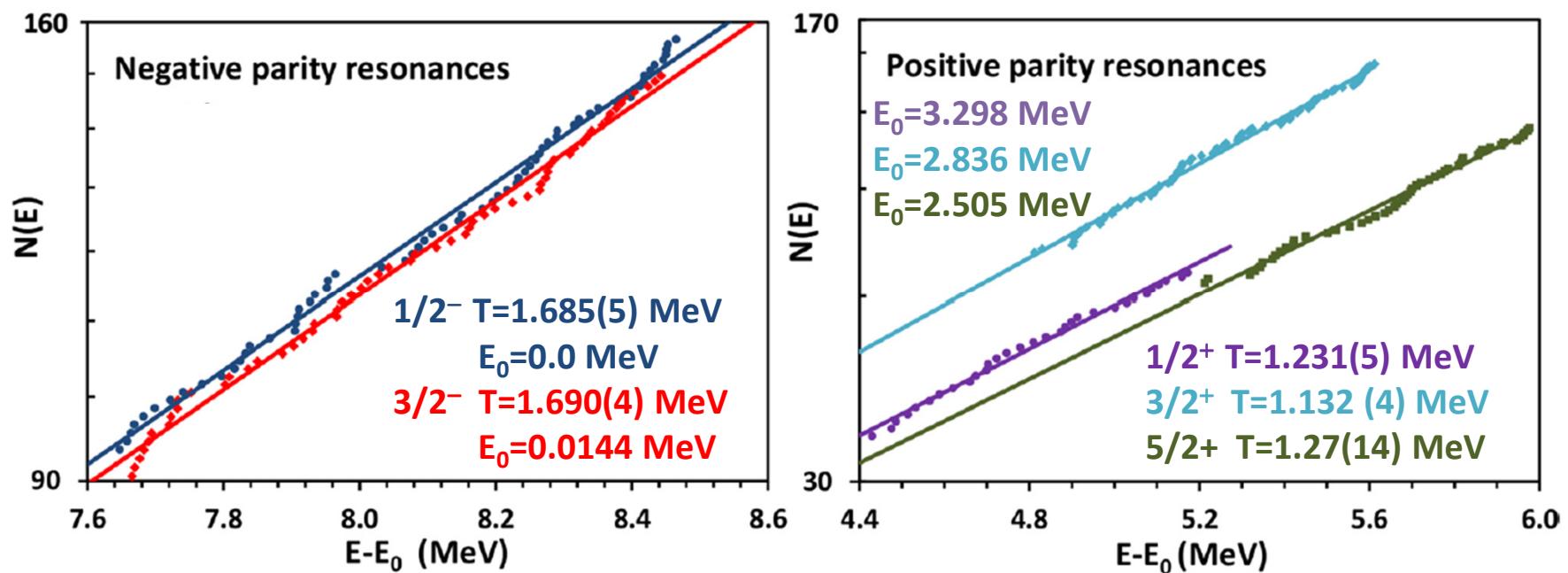
# CT-JPI Formulation

- Define  $E_0$  as the Yrast energy for each spin and parity.  
*This requires  $N(E)=1$  for the first occurrence of each  $J^\pi$  value. Natural value*
- $T$  is a constant temperature fit to all spin and parity sequences  
*This is a primary tenet of the CT formulation*
- $T(J^\pi)_{exp}$  and  $D(J^\pi)_{exp}$ , the level spacing at  $S_n$  for  $J^\pi$ , are determined by an exponential fit of  $N(E)$  to  $E-E_0(J^\pi)$  for the resonance energies  $E$  of each  $J^\pi$ .
- $D(J^\pi)_{calc}$  is determined from the spin distribution function for  $J^\pi$  when no resonance data exists where the spin cutoff parameter  $\sigma_c$  is chosen so that  $D(J^\pi)_{calc}$  coincides with experiment.  
*This formulation provides a self consistent level density calculation requiring only one free parameter,  $T$ .*

*The CT-JPI formulation differs from the CT formulation in that  $E_0$  is a physical parameter and  $T$  is determined from resonance data rather than level data.*

# The CT-JPI Formulation – $^{57}\text{Fe}$

Experimental Resonance Data (292 s-, p-, d-wave Resonances)

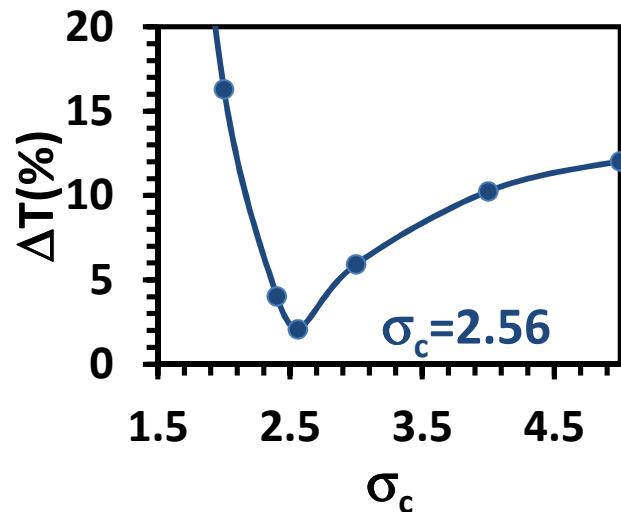


CT-JPI fit to experimental resonance data for  $^{57}\text{Fe}$ . The negative parity levels have constant  $T$ , positive parity levels have variable  $T$ .

RIPL:  $T=1.31$  MeV,  $E_0=-1.41$  MeV

# The CT-JPI Formulation – $^{57}\text{Fe}$

## Spin Distribution Calculations



$\sigma_c$  was varied to get constant T  
 $\sigma_c=3.17$  (von Egidy)

$J^\pi$	$E_0$ [10] MeV	$T(J^\pi)$ MeV	$J^\pi$	$E_0$ [10] MeV	$T(J^\pi)$ MeV
$1/2^+$	3.2983	1.231(5)	$1/2^-$	0.0	1.685(5)
$3/2^+$	2.8359	1.132(4)	$3/2^-$	0.0144	1.690(4)
$5/2^+$	2.5050	1.27(14)	$5/2^-$	0.1364	1.66
$7/2^+$	3.100	1.13	$7/2^-$	1.0072	1.57
$9/2^+$	2.4558	1.38	$9/2^-$	1.1984	1.68
$11/2^+$	3.8747	1.25	$11/2^-$	2.3563	1.66

Shaded values from experiment, others from spin distribution function. I get three temperatures averaging all values.

Spin distribution ratio	Experiment	Calculated
$f(1/2)/f(3/2)$	1.56(1)	1.59
$f(5/2)/f(3/2)$	1.16(13)	1.02

$T=1.664$  MeV, All  $J, \pi=$   
 $T=1.131$  MeV,  $J=1/2^+, 5/2^+, 9/2^+, \dots$   
 $T=1.28$  MeV,  $J=3/2^+, 7/2^+, 11/2^+, \dots$

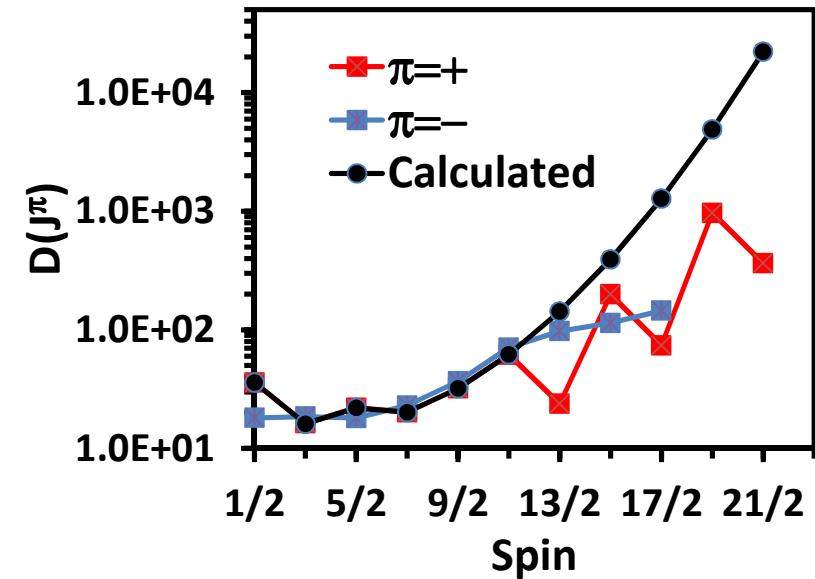
$$D(J^\pi) = \frac{f(J^\pi_{exp})}{f(J^\pi)} D(J^\pi_{exp}).$$

# The CT-JPI Formulation – $^{57}\text{Fe}$

For higher spins  $T$  and  $D(J^\pi)$ , the level spacing at  $S_n$  for levels of  $J^\pi$ , calculated from the spin distribution function diverge.

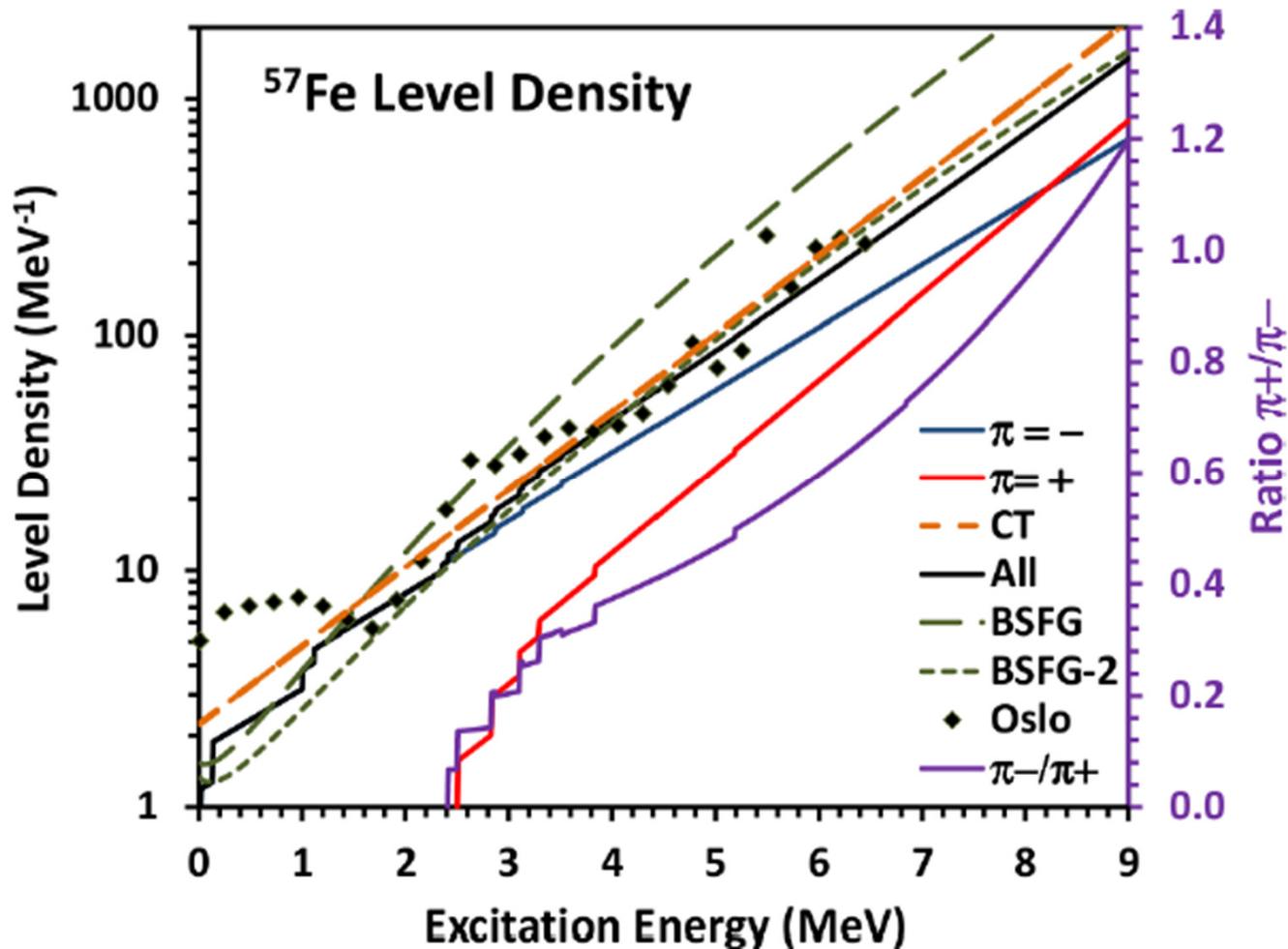
*The simple form of the spin-dependent level density is expected to fail for large values of the angular momentum.* J.R. Huizenga and L.G. Moretto, Ann. Rev. Nucl. Sci. **22**, 427 (1972).

$J^\pi$	$T(\text{calc})$ MeV	$D(J^\pi)(\text{calc})$ keV	$T(\text{syst})$ MeV	$D(J^\pi)(\text{syst})$ keV
$13/2^+$	1.73	143	1.13	24
$15/2^+$	1.61	373	1.28	200
$17/2^+$	2.97	1278	1.13	74
$19/2^+$	3.24	4908	1.28	970
$21/2^+$	10.7	22044	1.13	364
$13/2^-$	1.91	164	1.66	74
$15/2^-$	2.50	450	1.66	202
$17/2^-$	3.70	1463	1.66	658



The spin distribution function fails for  $J^\pi > 11/2$ . A constant temperature works better for high spin but also  $\sigma_c$  could be varied.

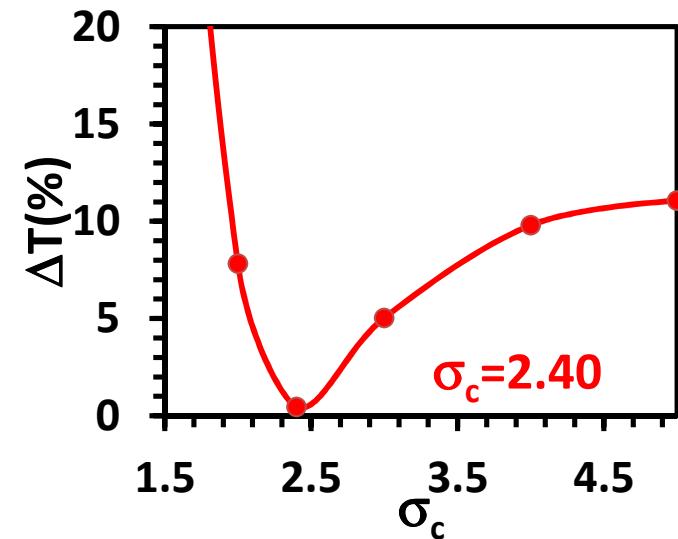
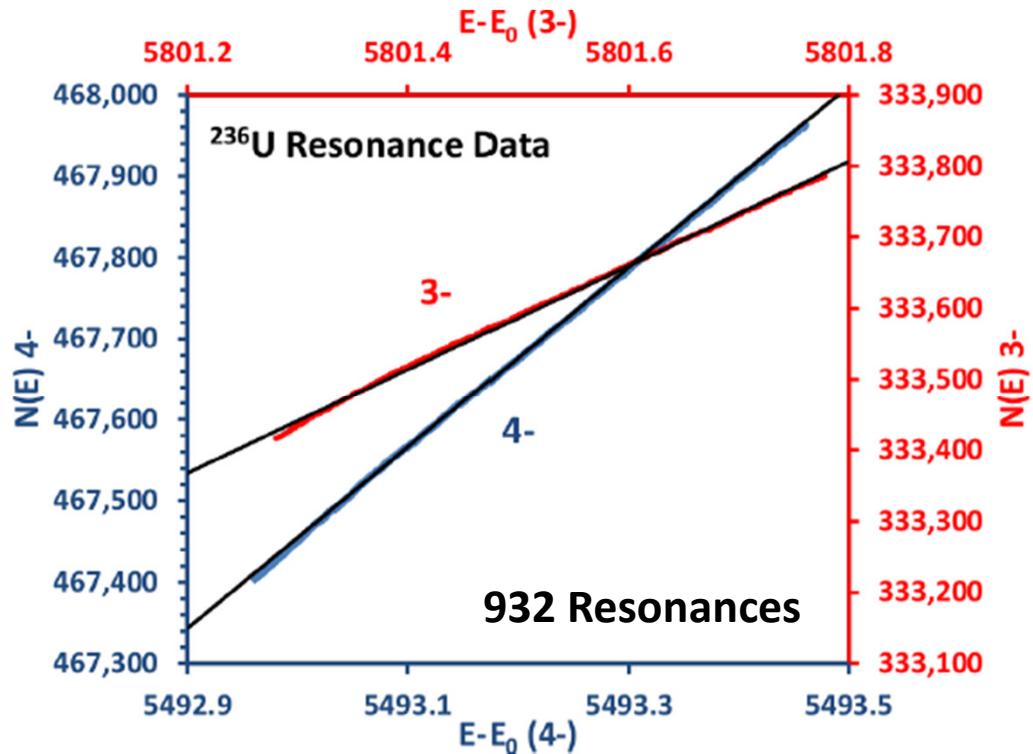
# Total level density— $^{57}\text{Fe}$



The total level density is determined by summing over all  $J^\pi$  values. Agreement with RIPL CT calculation and Oslo data are good.

$$\pi^+/\pi^- = 1.2 \text{ at } 9 \text{ MeV.}$$

# The CT-JPI Formulation – $^{236}\text{U}$



$D(3)/D(4) = 1.51$  (expt),  $= 1.56$  (calc)  
 $\sigma_c = 4.74$  (von Egidy)

$J^\pi$	$E_0^a$ [13] (MeV)	$T(J^\pi)$ (MeV)	$D(J^\pi)$ eV	$N(S_n, J^\pi)^b$
Experimental CT-JPI parameters				
$3^-$	0.7442	0.456	1.37	333416
$4^-$	1.0525	0.421	0.90	467410

# The CT-JPI Formulation – $^{236}\text{U}$

## Spin distribution function fit

$J^\pi$	$E_0^a$ [13] (MeV)	$T(J^\pi)$ (MeV)	$D(J^\pi)$ eV
$0^-$	1.310 <sup>c</sup>	$\equiv 0.443$	3.38
$1^-$	0.6876	0.460	1.33
$2^-$	0.9877	0.433	1.13
$3^-$	0.7442	0.456	1.37
$4^-$	1.0525	0.421	0.90
$5^-$	0.8481	0.456	1.75
$6^-$	1.164	0.461	2.33
$0^+$	0.9191	0.475	3.38
$1^+$	0.9579 <sup>c</sup>	0.440	1.34
$2^+$	0.9603	0.435	1.14
$3^+$	1.0015	0.438	$\equiv 1.37$
$4^+$	1.0508 <sup>c</sup>	0.421	$\equiv 0.90$
$5^+$	1.0938 <sup>c</sup>	0.438	1.75

**Note:** The Yrast GS band gives  $T=0.516(14)$  MeV. The Yrare band gives  $T=0.443(16)$  MeV and is used in the CT-JPI fit.

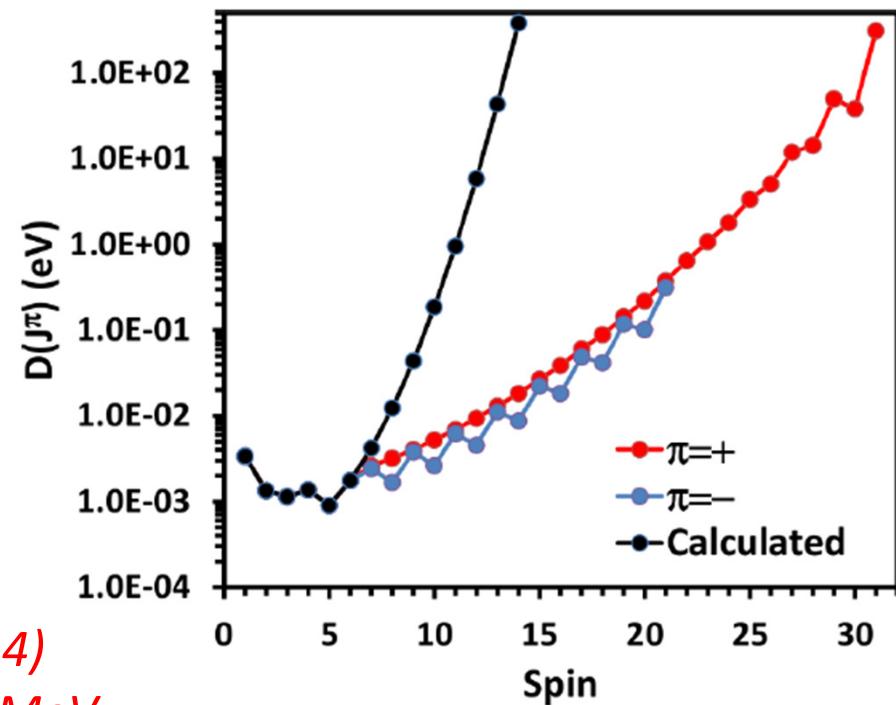
**Assumption:**  $D(3^-, 4^-) = D(3^+, 4^+)$ .

$$\bar{T}=0.443(5) \text{ MeV}$$

$$T=0.44(1), \text{ von Egidy}$$

$$T=0.393 \text{ (RIPL)}$$

$$T_{BCS}=0.443 \text{ MeV}$$



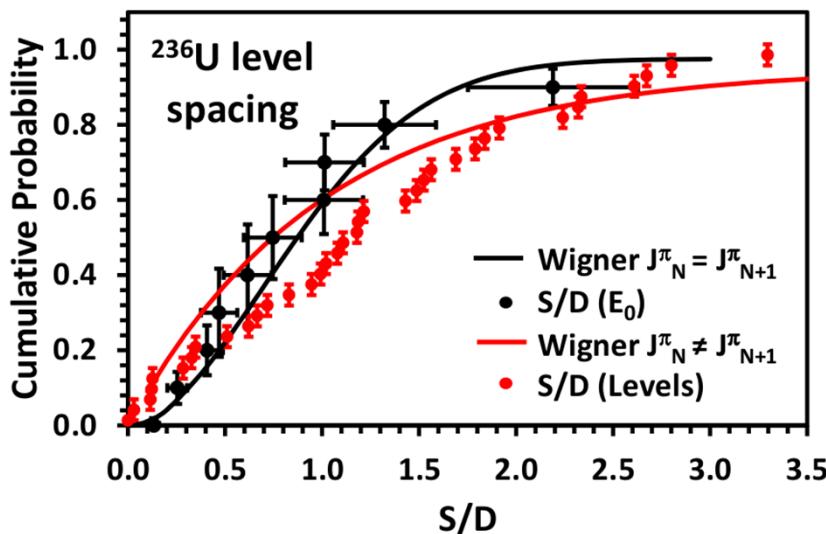
Spin distribution function fails at  $J \geq 6$

# $^{236}\text{U}$ Wigner fluctuations in $T$

The average level spacing is expected to follow a Wigner distribution

$$P\left(\frac{S}{D}\right) = \frac{\pi}{2} \frac{S}{D} \exp\left[-\frac{\pi}{4} \left(\frac{S}{D}\right)^2\right], J_N^\pi = J_{N+1}^\pi \quad P\left(\frac{S}{D}\right) = \exp\left(-\frac{S}{D}\right), J_N^\pi \neq J_{N+1}^\pi$$

where  $S = E_{N+1}^{J,\pi} - E_N^{J,\pi}$ ,  $D = 1/\rho(E, J, \pi)$



Comparison of Wigner distribution with experimental  $E_0$  fluctuations and level separations.

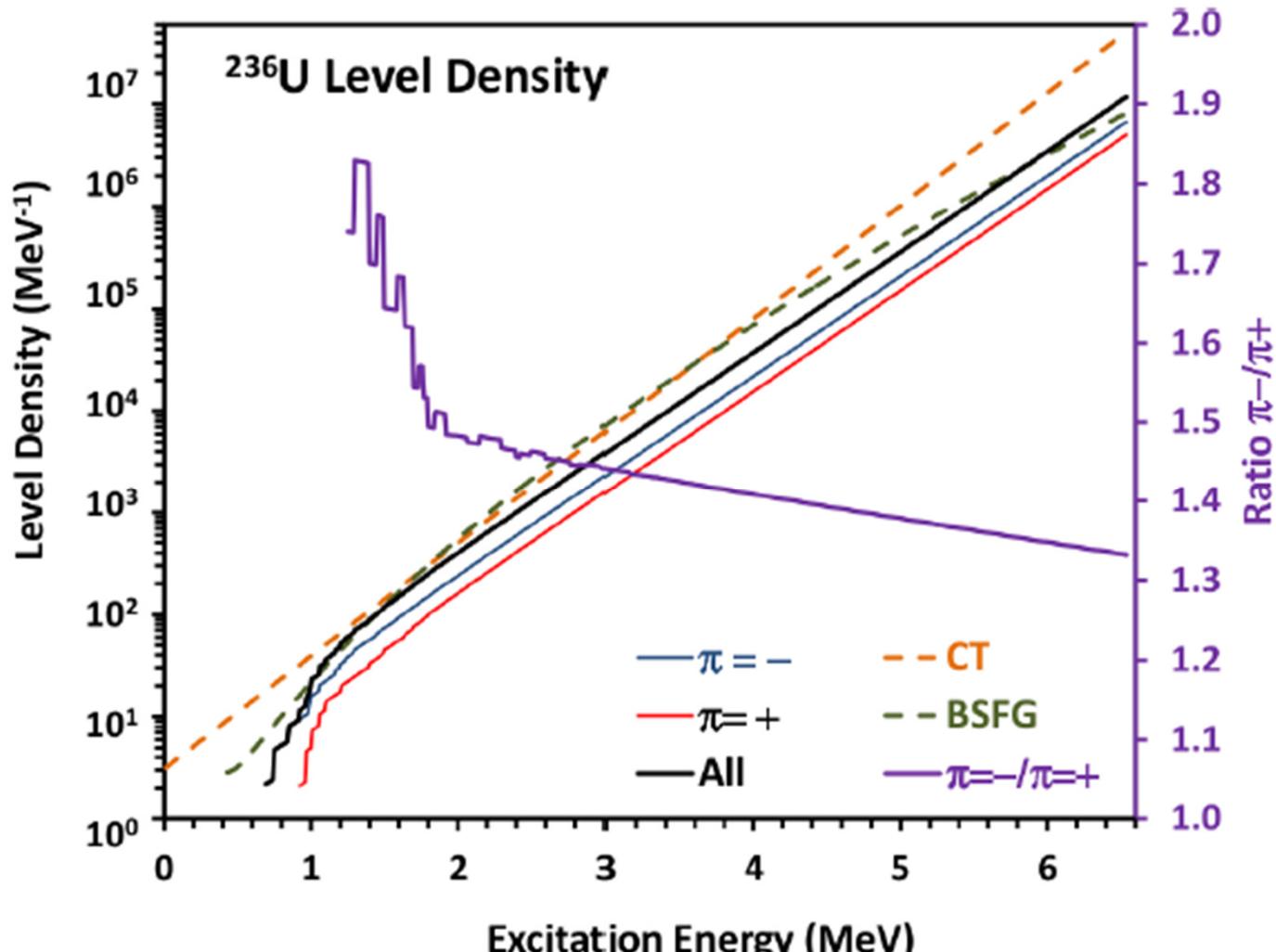
$E_0$  should be the effective value calculated from a constant  $T$ .

Small variations in  $T$  are due to Wigner fluctuations in the positions of the Yrast levels. Assuming  $T=0.443 \text{ MeV}$ , the effective Yrast energies can be calculated. Fluctuations in the Yrast energies,  $E_{\text{yrast}} - E_{\text{eff}}$ , can be compared with the Wigner distribution. Reasonable agreement is obtained for  $^{236}\text{U}$ .

Fluctuations in level spacing give modest agreement with Wigner distribution (missing levels?).

The validity of the Wigner distribution near the GS has been shown by Brody *et al*, Rev. Mod. Phys. **54**, 385 (1981).

# The CT-JPI Formulation – $^{236}\text{U}$



Level densities,  $\pi^-/\pi^+=1.33$  at 6.5 MeV.

# Improved $^{236}\text{U}$ Yrast Data

- **0<sup>-</sup> level**

No 0<sup>-</sup> levels are known in  $^{236}\text{U}$ . The only 0- levels known in the actinides are at 1311.51 keV ( $^{236}\text{Pu}$ ) and 1410.75 keV ( $^{238}\text{Pu}$ ). Assuming T=0.443(5) MeV,  $E(0^-)=1330\pm140$  keV.

- **1<sup>+</sup> level**

The Yrast 1<sup>+</sup> level from ENSDF at 1791.3 keV gives a low T=0.380 MeV. Levels at 957.9- and 960.3-keV were assigned (2<sup>+</sup>) in ENSDF which is improbable for a Wigner distribution. The 957.9-keV level is not populated in average resonance capture from  $J^\pi=3^-, 4^-$ . This level is consistent with an Yrast 1<sup>+</sup> assignment and gives T=0.440 MeV.

- **5<sup>+</sup> Level**

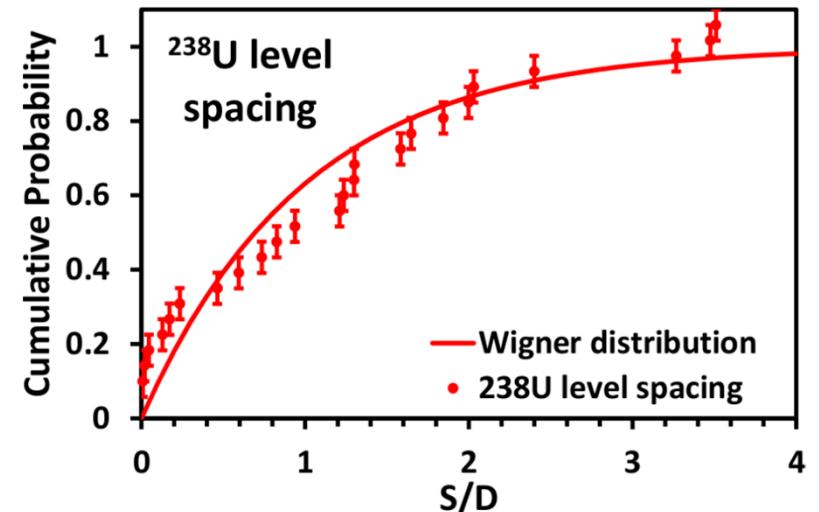
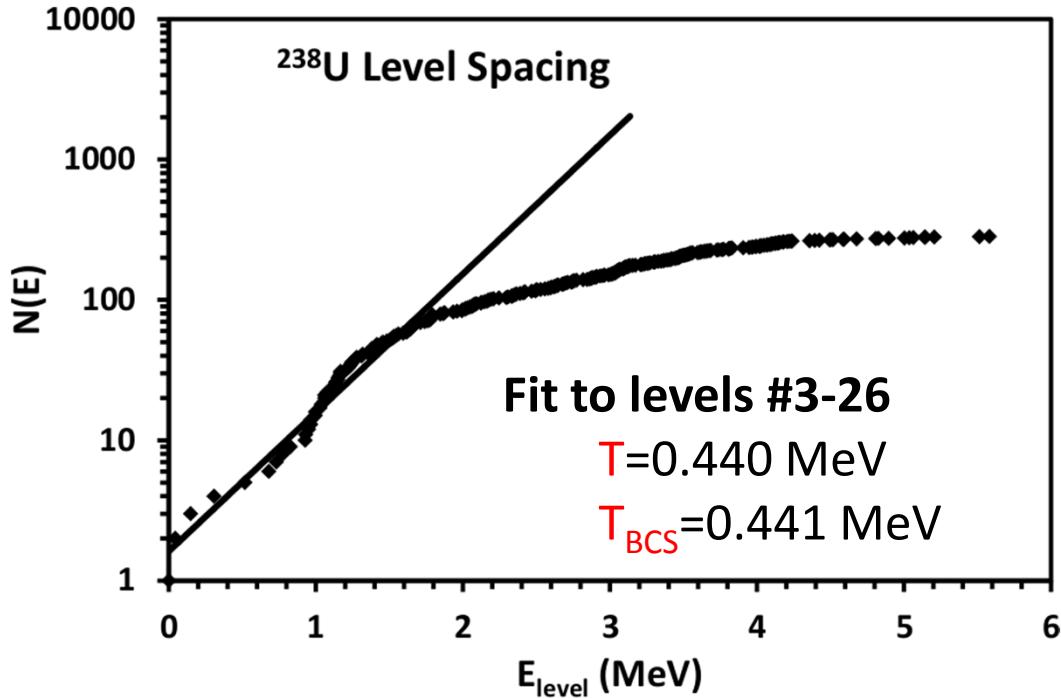
Lowest candidate state is at 1093.8 keV with  $J^\pi=(2,5)^+$  in ENSDF. Level is not feed by  $^{236}\text{Pa}$   $\beta^-$  decay (1<sup>-</sup>) suggesting the higher spin is likely and gives T=0.438 MeV.

# Conclusions

- The CT-JPI formulation can be used to calculate **complete level schemes** up to at least the neutron separation energy.  
*This is a fundamental property of all nuclear level schemes*
- The  $E_0$  parameter restricts the  $J^\pi$  level density to levels above the Yrast level.  
*The parity distribution comes naturally from this formulation*
- The temperature  $T$  derived from resonance and low energy data are the same.  
*The CT-JPI model is valid at all excitation energies*
- The spin cutoff parameter,  $\sigma_c$ , is directly determined.
- The spacing of all levels follows a Wigner distribution.
- Calculation of the level density for high spin states is uncertain.
- More nuclei need to be studied to determine the range of applicability of the CT-JPI formulation.

**Epiphany:** For nuclei without resonance data the temperature can be derived from the low levels and combined with the Yrast data to determine the level density.

# $^{238}\text{U}$ Level Density



Comparison of  $^{238}\text{U}$  level spacing with Wigner distribution.

The experimental level spacing at  $S_n$  is determined from the CT-JPI model parameters.

Agreement with the spin distribution function is good for  $\sigma_c=3.98$ . No  $1^+$  or  $0^-$  levels are predicted below  $S_n=6154.3 \text{ kev}$ .

$^{238}\text{U}$ Yrast $E_0$ values and $J^\pi$ level spacing at $S_n$						
$J$	$E_0(\pi=+)$ keV	$D(J^+)$ eV	$E_0(\pi=-)$ keV	$D(J^-)$ eV	$D(J)$ -exp eV	$D(J)$ -calc eV
0	927.21	3.01	-	-	<b>3.01</b>	3.19
1	-	-	680.11	1.71	<b>1.71</b>	2.17
2	966.13	3.29	950.12	3.17	1.61	1.49
3	1059.66	4.07	731.93	1.93	1.31	1.39
4	1056.38	4.04	1028	3.79	1.95	2.07
5	1232	6.02	862.64	2.37	1.71	1.62

# Thank you for your attention



Physics is much too hard for  
physicists.

— *David Hilbert* —